

The CKM Paradigm

Implications of the Most Recent Results on CP Violation and Rare Decay Searches in the B and K Meson Systems



LPNHE

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On behalf of CKMfitter:

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<http://www.slac.stanford.edu/~laplace/ckmfitter.html>

ElectroWeak Interactions and Unified Theories
Moriond, 2002

Outline

CKM Fits: Motivation and Method

**Recent B and K Decay
Measurements and their Implications**

**Testing QCD Factorization:
Charmless B Decays to 2 Pseudoscalars**

**Constraining New Physics:
Semi-Leptonic Asymmetry**

The CKM Matrix

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

- Couplings of flavour changing charged currents to the quarks are proportional to the CKM matrix elements
- Unitary matrix described by 4 independent real parameters

Wolfenstein parametrization (expansion in $\lambda \sim 0.22$):

$$V_{CKM} \approx \begin{pmatrix} 1 - I^2/2 & I & AI^3 (r - ih) \\ -I & 1 - I^2/2 & AI^2 \\ AI^3 (1 - r - ih) & -AI^2 & 1 \end{pmatrix} \quad \text{CPV phase}$$

⇒ $h \neq 0 \Rightarrow \text{CPV in SM}$

The Unitarity Triangles

B sector:

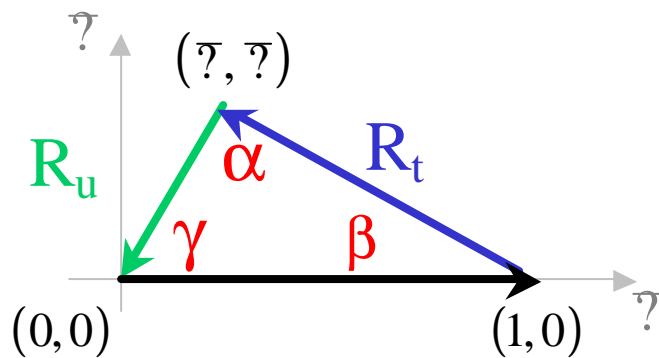
$$\frac{V_{ud} V_{ub}^*}{V_{cd} V_{cb}^*} + \frac{V_{cd} V_{cb}^*}{V_{cd} V_{cb}^*} + \frac{V_{td} V_{tb}^*}{V_{cd} V_{cb}^*} = 0$$

$$\frac{A I^3}{A I^3} + 1 + \frac{A I^3}{A I^3} = 0$$

K sector:

$$\frac{V_{ud} V_{us}^*}{V_{cd} V_{cs}^*} + \frac{V_{cd} V_{cs}^*}{V_{cd} V_{cs}^*} + \frac{V_{td} V_{ts}^*}{V_{cd} V_{cs}^*} = 0$$

$$\frac{I}{I} + 1 + \frac{A^2 I^5}{I} = 0$$



$$R_u = \frac{V_{ud} V_{ub}^*}{V_{cd} V_{cb}^*} \approx -\sqrt{\bar{r}^2 + \bar{h}^2} e^{i\bar{g}}$$

$$R_t = \frac{V_{td} V_{tb}^*}{V_{cd} V_{cb}^*} \approx -\sqrt{(1-\bar{r})^2 + \bar{h}^2} e^{-i\bar{b}}$$

$$\gamma = \arg(-V_{ub}^*) \quad \beta = \arg(-V_{td}^*)$$

Constraining The Unitarity Triangle

Wolfenstein parameters:

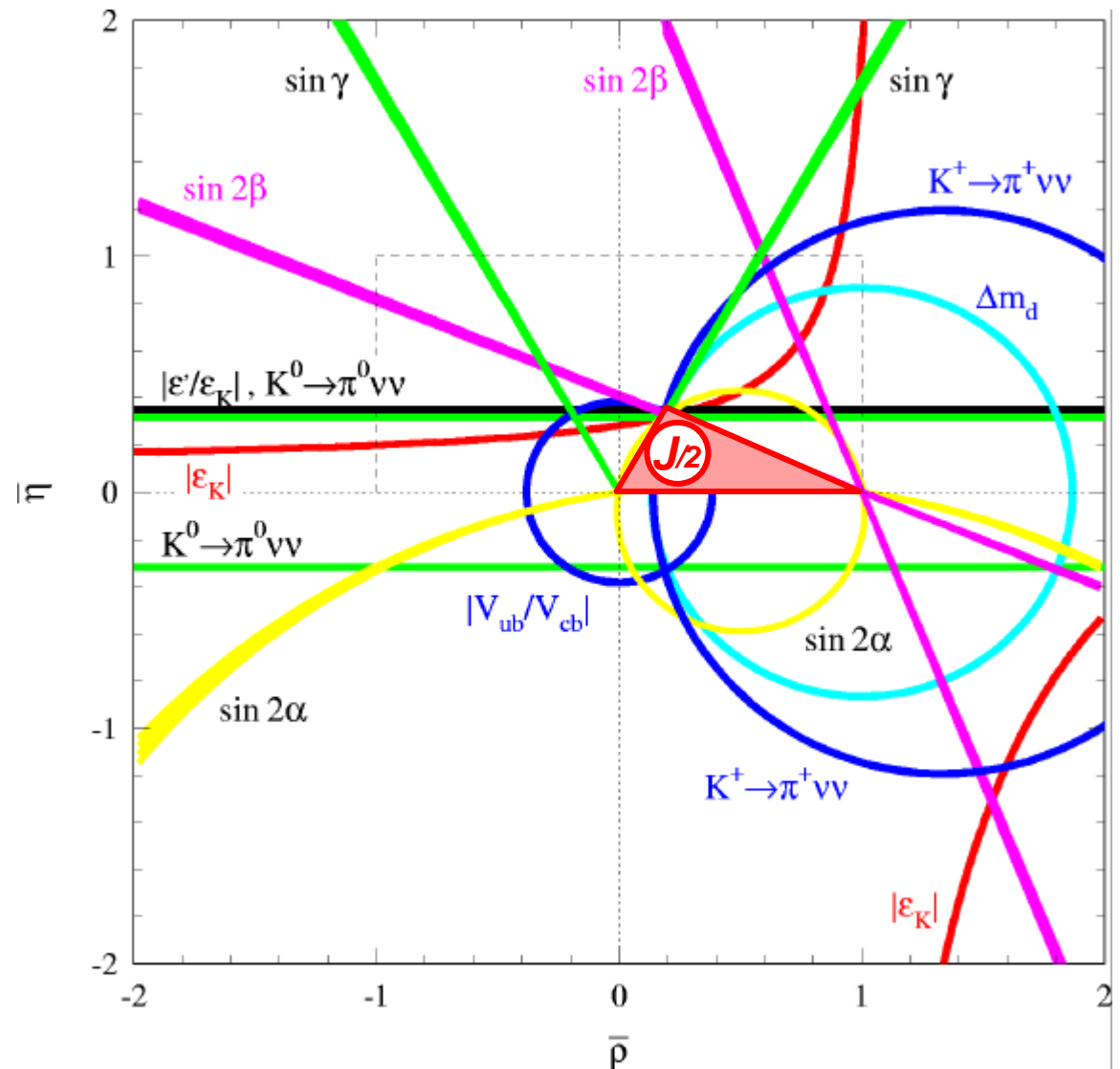
λ , A , \bar{r} and \bar{h}

Known at the 1% level:
 $\lambda = |V_{us}| = 0.2200 \pm 0.0025$

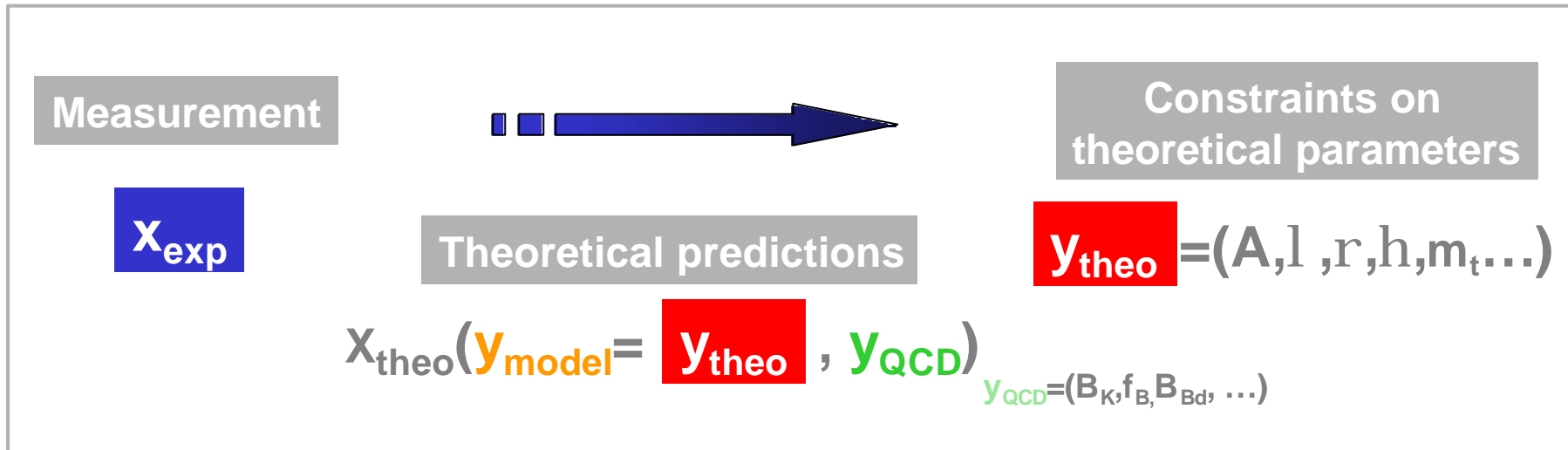
Known at the 5% level:
 $A = |V_{cb}| / \lambda^2 = 0.83 \pm 0.06$

(r, h) less known

\Rightarrow “Constrain the
 (r, h) plane”



Extracting CKM Parameters



$$L(\mathbf{y}_{\text{model}}) = L_{\text{exp}} [\mathbf{x}_{\text{exp}} - \mathbf{x}_{\text{theo}}(\mathbf{y}_{\text{model}})] \cdot L_{\text{theo}}(\mathbf{y}_{\text{QCD}})$$

“ χ^2 ” = $-2 \ln L(\mathbf{y}_{\text{model}})$

« Guesstimates »

Frequentist

Bayesian

“Uniform likelihoods: ranges”

Frequentist Approach: Rfit

CKM
fitter

Three main analysis steps:

EPJ C21 (2001) 225, [hep-ph/0104062]

Probing the SM

- Evaluate global minimum:

$$\chi^2_{\min; y_{\text{mod}}}(y_{\text{mod-opt}})$$

- “Fake” perfect agreement:

$$x_{\text{exp-opt}} = x_{\text{theo}}(y_{\text{mod-opt}})$$

generate x_{exp} using L_{exp}

- Perform many fits:

$$\chi^2_{\text{min-toy}}(y_{\text{mod-opt}}) \rightarrow F(\chi^2_{\text{min-toy}})$$



$$\text{CL}(\text{SM}) \leq \int_{?^2 \geq ?^2_{\min; y_{\text{mod}}}} F(?^2) d?^2$$

Metrology

- Define:

$$y_{\text{mod}} = \{\mathbf{a}; \boldsymbol{\mu}\}$$
$$= \{\rho, \eta, A, \lambda, y_{\text{QCD}}, \dots\}$$

- Set Confidence Levels in $\{\mathbf{a}\}$ space, irrespective of the $\boldsymbol{\mu}$ values:

- Fit with respect to $\{\boldsymbol{\mu}\}$
 $\chi^2_{\min; \boldsymbol{\mu}}(\mathbf{a}) = \min_{\boldsymbol{\mu}} \{\chi^2(\mathbf{a}, \boldsymbol{\mu})\}$

- $\Delta\chi^2(\mathbf{a}) = \chi^2_{\min; \boldsymbol{\mu}}(\mathbf{a}) - \chi^2_{\min; y_{\text{mod}}}$



$$\text{CL}(\mathbf{a}) = \text{Prob}(\Delta\chi^2(\mathbf{a}), N_{\text{dof}})$$

Test New Physics

- If CL(SM) good



Obtain limits on New Physics parameters

- If CL(SM) bad



Hint for New Physics:
stop working and buy
ticket to Stockholm

Standard Inputs: Moriond 02

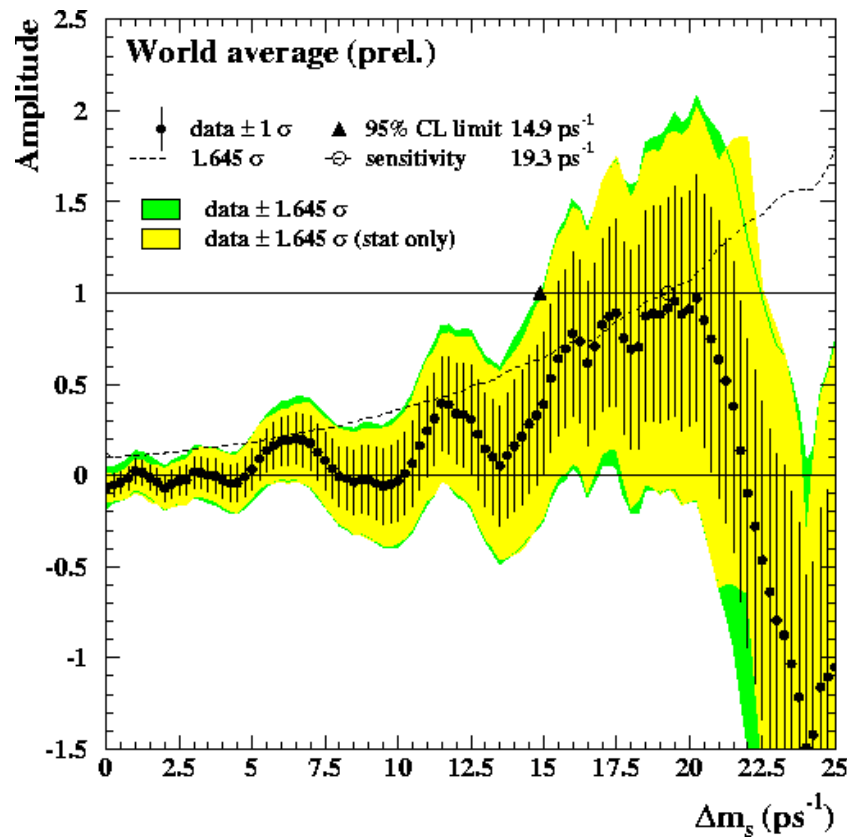
$ V_{ud} $	0.97394 ± 0.00089	<i>neutron + nuclear b decay</i>
$ V_{us} $	0.2200 ± 0.0025	$K^0 \rightarrow \pi l \nu$
$ V_{cd} $	0.224 ± 0.014	<i>dimuon production: uN (DIS)</i>
$ V_{cs} $	0.969 ± 0.058	$W^0 \rightarrow XcX$ (OPAL)
$ V_{ub} $	$(4.08 \pm 0.61 \pm 0.47)10^{-3}$	<i>LEP inclusive</i>
$ V_{ub} $	$(4.08 \pm 0.56 \pm 0.40)10^{-3}$	<i>CLEO inclusive</i>
$ V_{ub} $	$(3.25 \pm 0.29 \pm 0.55)10^{-3}$	<i>CLEO exclusive</i>
		\otimes <i>product of likelihoods for V_{ub}</i>
$ V_{cb} $	$(40.4 \pm 1.3 \pm 0.9)10^{-3}$	<i>Excl./Incl.+CLEO Moment Analysis</i>
$ e_K $	$(2.271 \pm 0.017)10^{-3}$	<i>PDG 2000</i>
Dm_d	(0.496 ± 0.007) ps ⁻¹	<i>BABAR,Belle,CDF,LEP,SLD (2002)</i>
Dm_s	Amplitude	<i>CDF,LEP,SLD (2002)</i>
$\sin 2\beta$	0.780 ± 0.077	<i>WA, Updates Moriond 02 BABAR and Belle included</i>
$m_t(\overline{MS})$	(166 ± 5) GeV	<i>CDF,D0, PDG 2000</i>
$f_{B_d} \sqrt{B_d}$	$(0.230 \pm 0.028 \pm 0.028)$ GeV	<i>Lattice 2000</i>
x	$1.16 \pm 0.03 \pm 0.05$	<i>Lattice 2000</i>
h_{cc}	$0.87 \pm 0.06 \pm 0.13$	<i>Lattice 2000</i>

+ other parameters with less relevant errors...

Refined Treatment of Dm_s

No measurement of Dm_s : how do we use the available experimental information ?

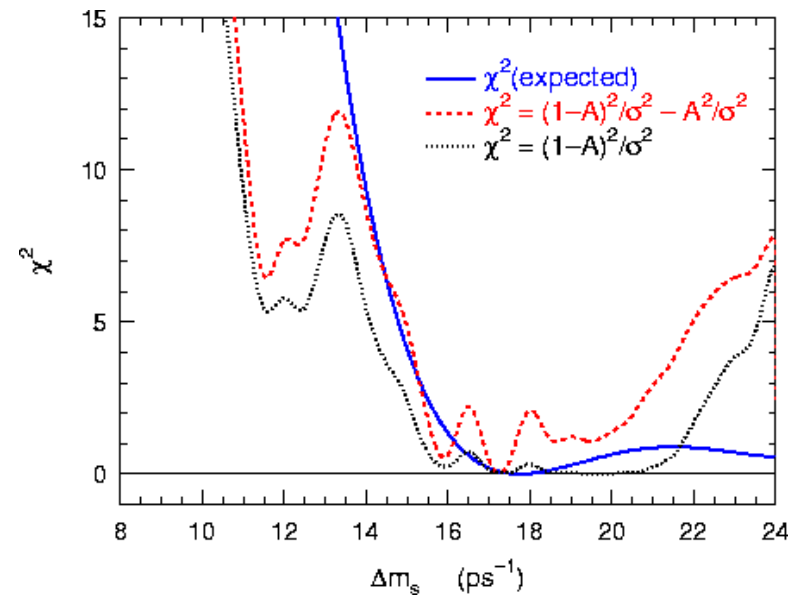
Following F. Le Diberder's treatment presented at the CERN CKM workshop in Feb. 02



Preferred value = 17.2 ps^{-1}

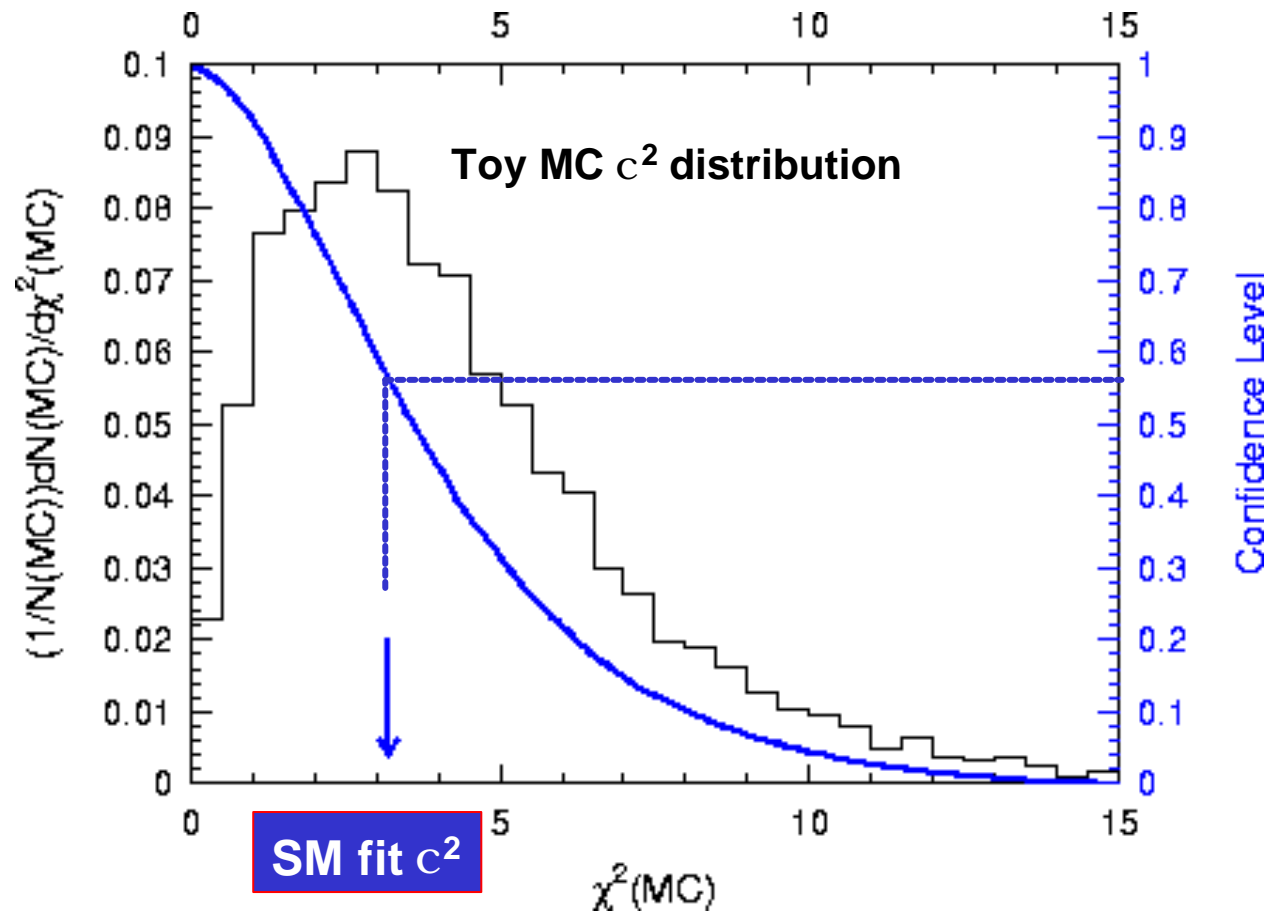
See CKM workshop web page
Paper in preparation

- ➡ compute the expected PDF for the current preferred value
- ➡ compute the CL
- ➡ infer an equivalent c^2



(I) Probing the SM

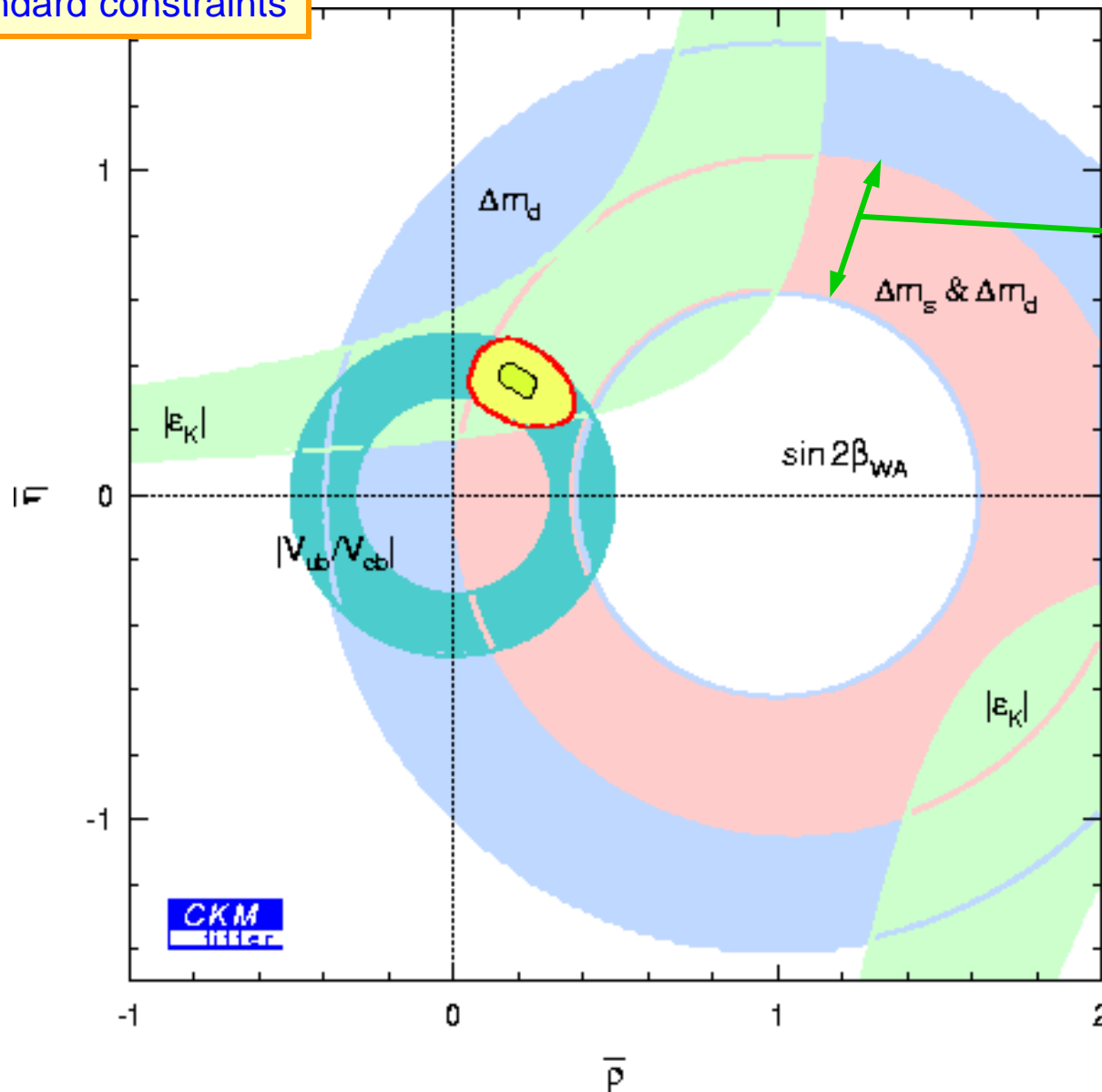
Test of
goodness
of fit



Confidence Level of Standard Model: $\text{CL}(\text{SM}) = 57\%$

(II) Metrology

The standard constraints

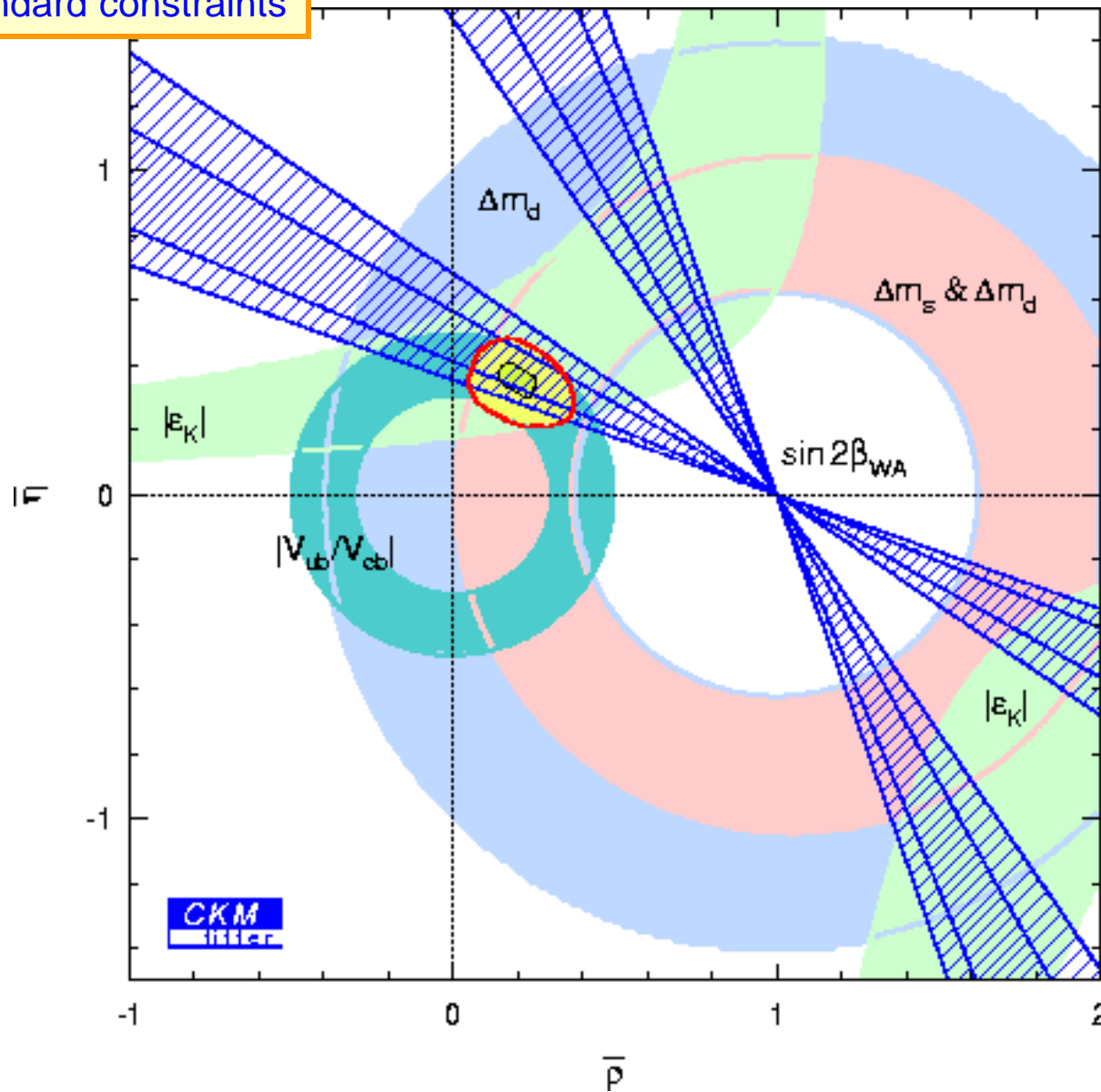


Does not include $\sin 2\beta$

Region containing points $> 5\%$ CL

(II) Metrology

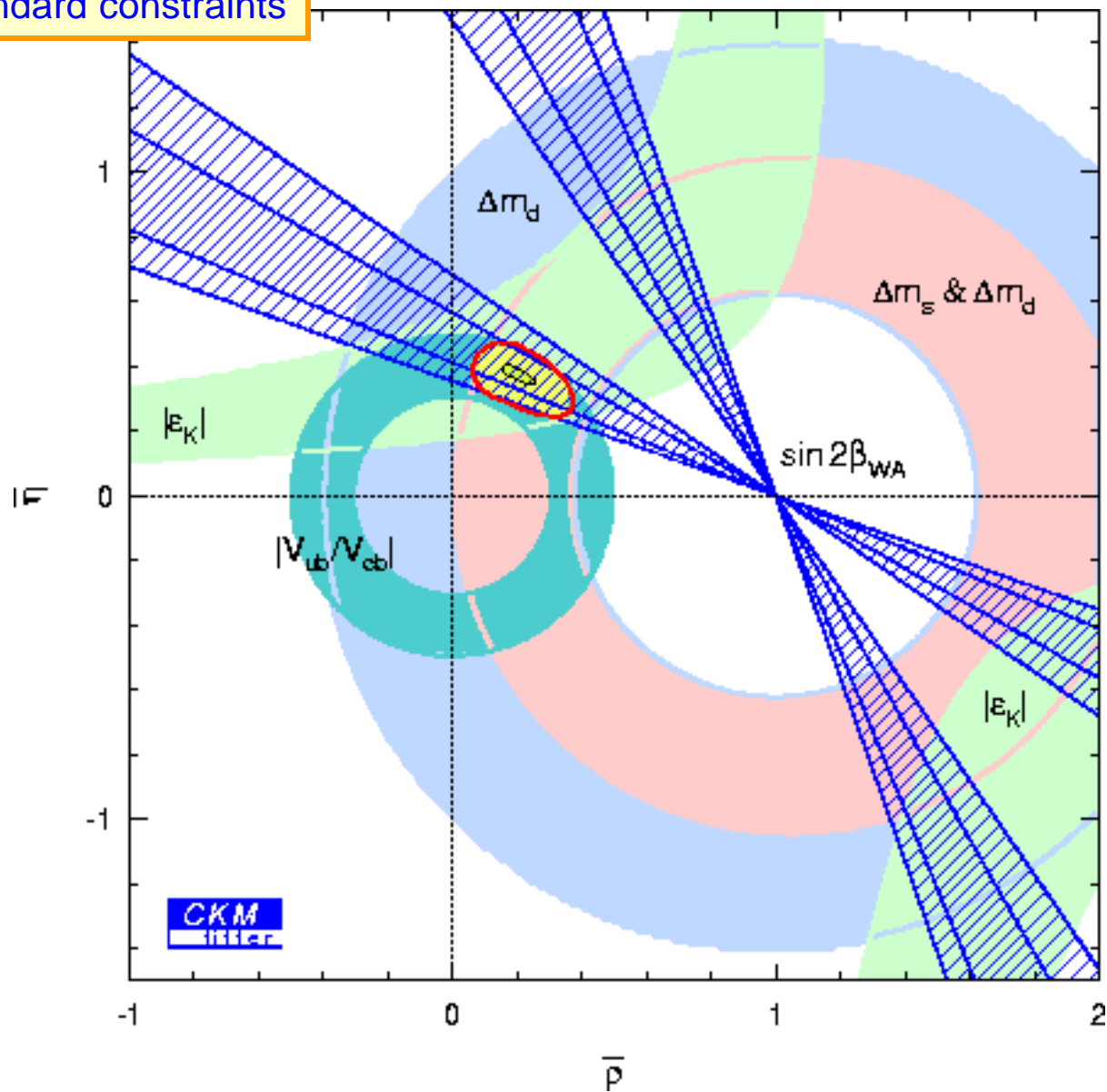
The standard constraints



Does not include $\sin 2\beta$

(II) Metrology

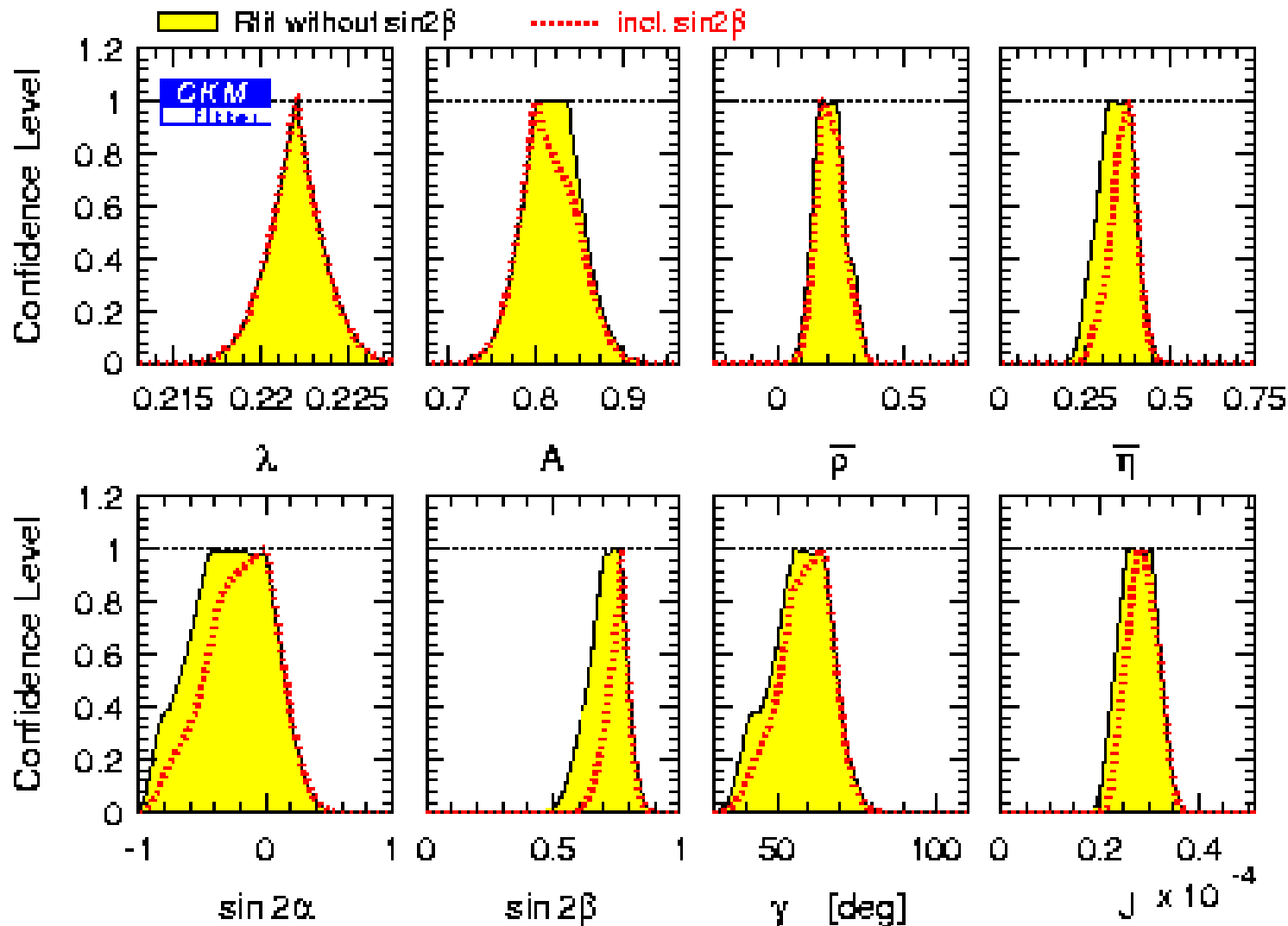
The standard constraints



Fit including $\sin 2\beta$

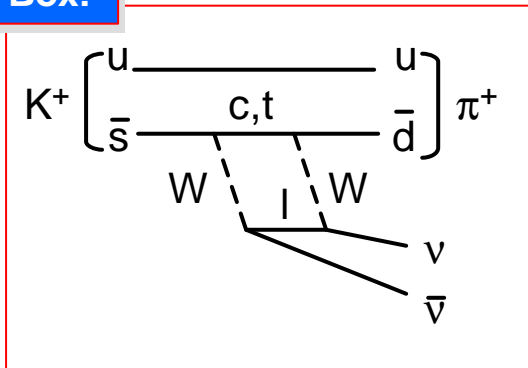
Fit Outputs

One-dimensional constraints:



Constraint from Rare Kaon Decays: $K^+ \rightarrow p^+ n \bar{n}$

Box:

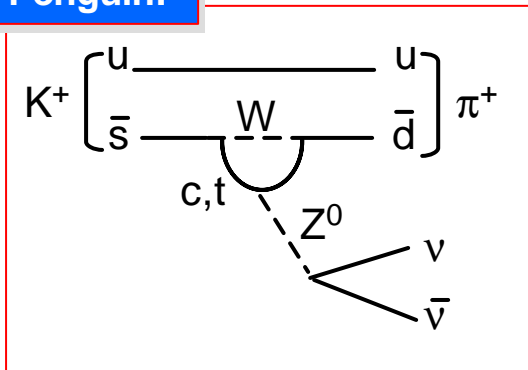


$$\text{BR}(K^+ \rightarrow p^+ n \bar{n}) \propto \frac{\text{BR}(K^+ \rightarrow p^+ e^+ n)}{|V_{us}|^2} \sum_{l=e, \mu, \tau} |V_{cs}^* V_{cd} X_{NL} + V_{ts}^* V_{td} X(x_t)|^2$$

top contribution

charm contribution

Penguin:



$$\text{BR}(K^+ \rightarrow p^+ n \bar{n}) \propto I^8 A^4 X^2(x_t) \frac{1}{s} \left[(\mathbf{s} \mathbf{h})^2 + (\mathbf{r}_0 - \bar{\mathbf{r}})^2 \right]$$

ellipse



Main theoretical uncertainty comes from charm contribution

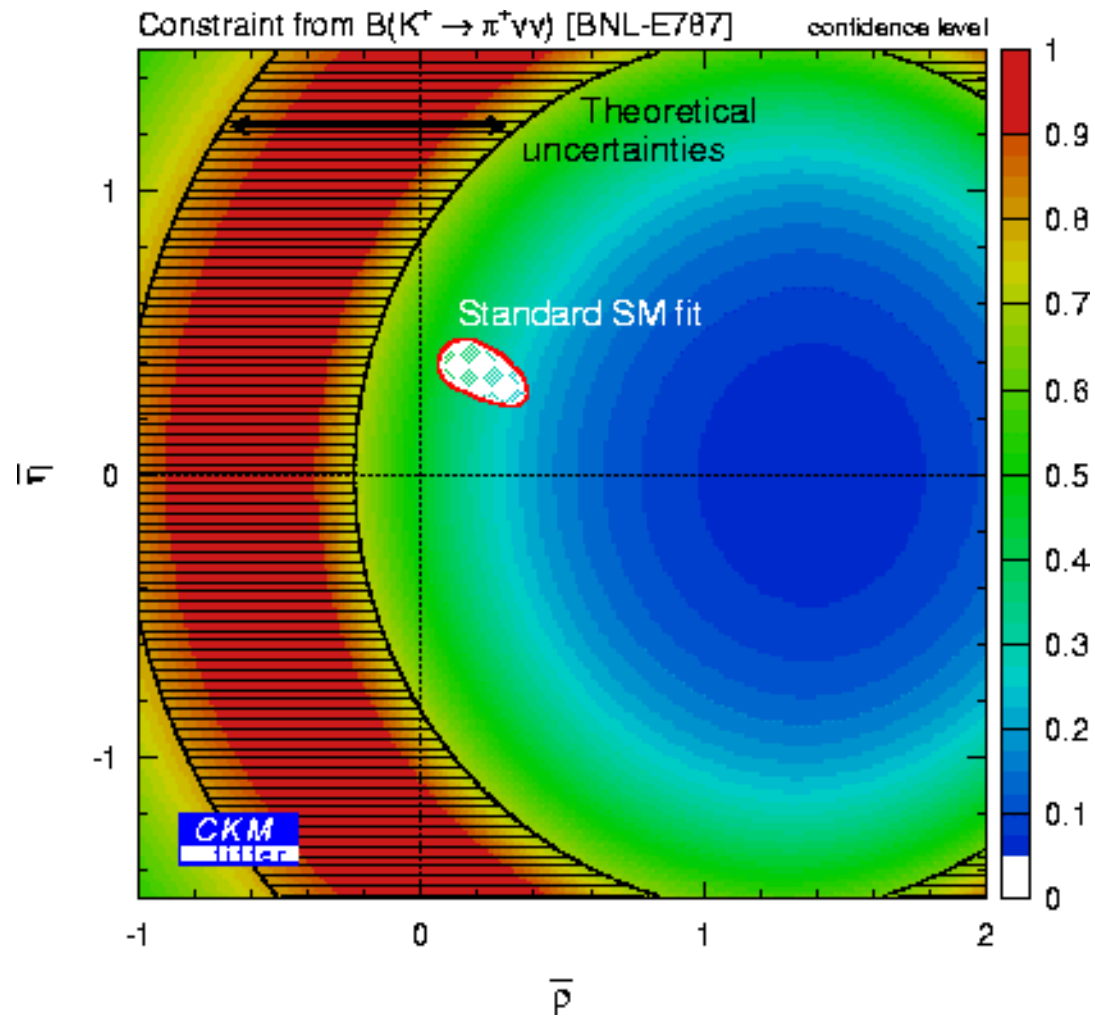
Experiment:

Two events observed at BNL (E787), yielding:

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.57^{+1.75}_{-0.82}) \times 10^{-10}$$

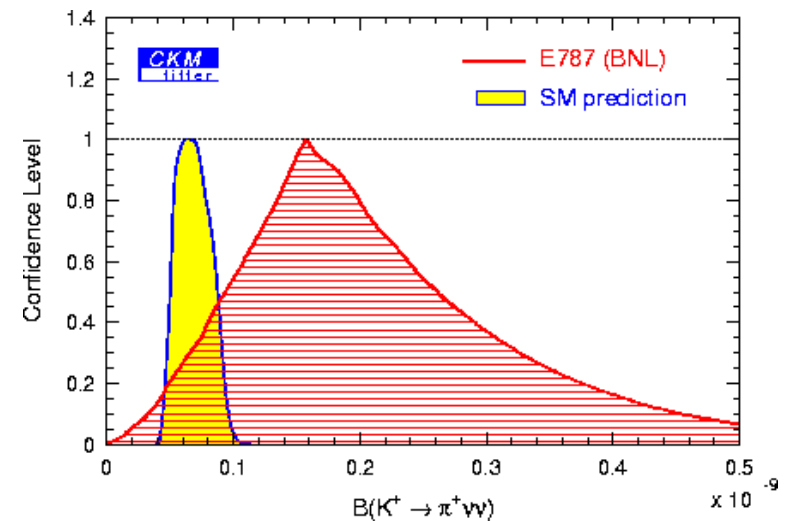
E787 (BNL-68713)
hep-ex/0111091

Rare Kaon Decays: $K^+ \rightarrow \pi^+ \nu \bar{\nu}$



At present dominated by experimental errors.

However:
theoretical uncertainties
will become important for
constraints in the r-h plane



Testing New Approaches: Charmless 2-body B decays

BBNS: QCD FACTORISATION APPROACH:

M. Beneke, G. Buchalla, M. Neubert and C.T. Sachrajda
"QCD Factorization in B \rightarrow pK, pp Decays and Extraction of Wolfenstein Parameters", *Nucl.Phys.B606 (2001) 245*

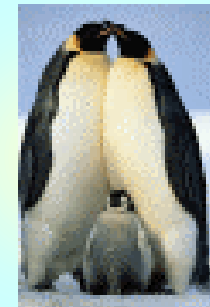
Endpoint Singularities ?
W Annihilation ?

Sudakov ?

PERTURBATIVE QCD APPROACH

Y. -Y. Keum, H-n. Li and A.I. Sanda
"Penguin enhancement and B \rightarrow pK decays in perturbative QCD", *hep-ph/0004173*

**M. Ciuchini, E. Franco, G. Martinelli,
M. Pierini and L. Silvestrini,**
"Charming Penguins strike back",
Phys.Lett. B515 (2001) 33; "Two body B
Decays, Factorization and $\lambda_{\text{QCD}} / m_B$
corrections", *hep-ph/0110022*



...and many more papers !

HERE: FITS TO BBNS

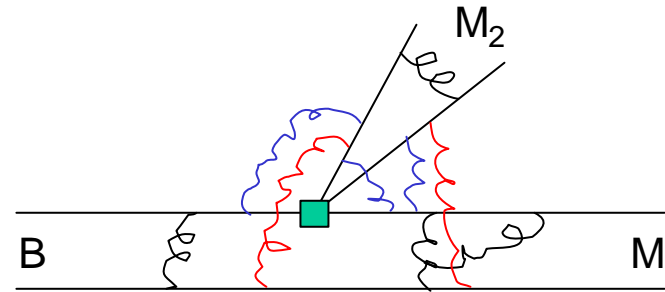
R&D

the QCD Factorization Approach

Color transparency:

- energy release large
- qq pair in emitted meson is collinear
- soft gluons do not interact with small color dipole
- non-factorizable contributions calculable in pQCD

For physical m_b , understanding $(\Lambda_{\text{QCD}}/m_b)$ corrections is important



Non-perturbative physics:
formation of M_2 and $B \rightarrow M_1$
Vertex corrections and penguins
Hard spectator interactions

BBNS Approach

- Tree, strong and EW penguin Wilson coefficients **calculated**
- **Strong phases** predicted **small** \Rightarrow direct CP asymmetries small
- Annihilation diagrams not rigorously calculated but estimated $\rightarrow X_A$
- Soft physics contribution in the spectator interaction is parametrized $\rightarrow X_H$

B⁰ pp / Kp Branching Fractions

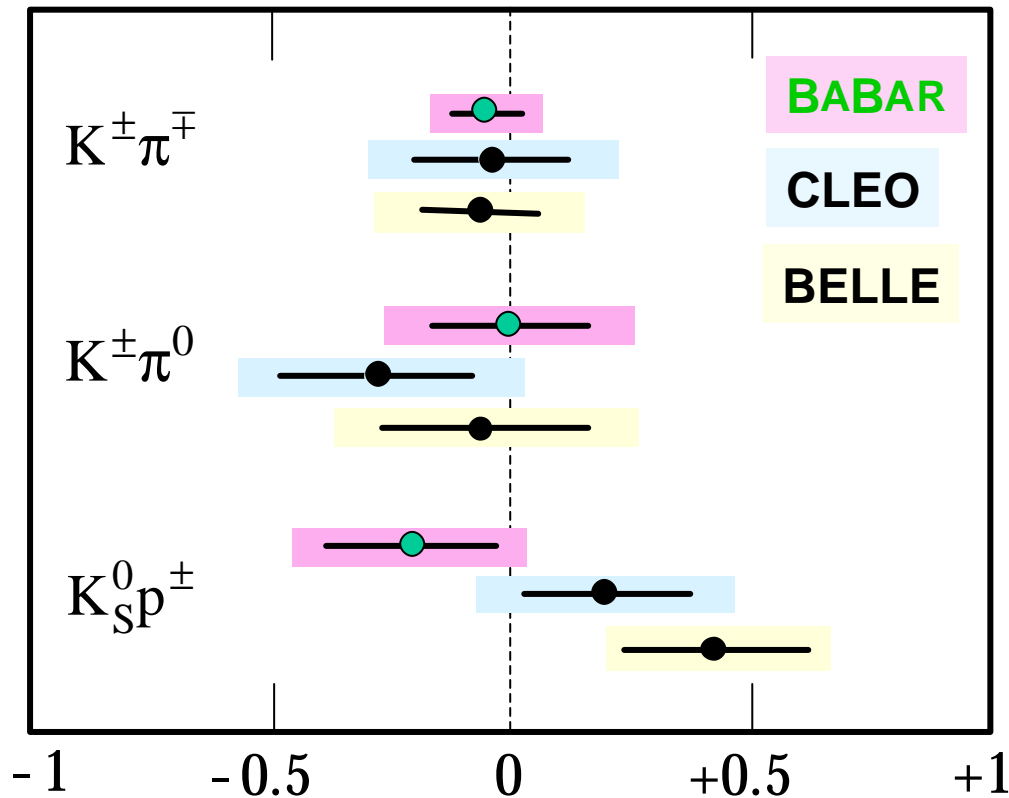
Updated Belle (CERN CKM workshop, Feb 02)
Updated BABAR (Moriond EW 02)

BF ($\times 10^6$)	CLEO 9.1 fb ⁻¹	BABAR 55.6 fb ⁻¹	BELLE 31.7 fb ⁻¹	World Average
$B^0 \rightarrow p^+ p^-$	$4.3^{+1.6}_{-1.4} \pm 0.5$	$5.4 \pm 0.7 \pm 0.4$	$5.1 \pm 1.1 \pm 0.4$	5.17 ± 0.62
$B^0 \rightarrow K^+ p^-$	$17.2^{+2.5}_{-1.4} \pm 1.2$	$17.8 \pm 1.1 \pm 0.5$	$21.8 \pm 1.8 \pm 1.5$	18.5 ± 1.0
$B^0 \rightarrow K^+ K^-$	< 1.9 (90%)	< 2.5 (90%)	< 2.7 (90%)	
$B^+ \rightarrow p^+ p^0$	$5.6^{+2.6}_{-2.3} \pm 1.7$	$5.1 \pm 2.0 \pm 0.8$	$7.0 \pm 2.2 \pm 0.8$	5.9 ± 1.4
$B^+ \rightarrow K^+ p^0$	$11.6^{+3.0}_{-2.7} \begin{smallmatrix} +1.4 \\ -1.3 \end{smallmatrix}$	$10.8 \pm 2.1 \pm 1.0$	$12.5 \pm 2.4 \pm 1.2$	$11.6^{+1.6}_{-1.5}$
$B^+ \rightarrow K^0 p^+$	$18.2^{+4.6}_{-4.0} \pm 1.6$	$18.2 \pm 3.3 \pm 2.0$	$18.8 \pm 3.0 \pm 1.5$	$18.5^{+2.3}_{-2.2}$
$B^0 \rightarrow K^0 p^0$	$14.6^{+5.9}_{-5.1} \begin{smallmatrix} +2.4 \\ -3.3 \end{smallmatrix}$	$8.2 \pm 3.1 \pm 1.2$	$7.7 \pm 3.2 \pm 1.6$	9.0 ± 2.2



Compatibility among experiments. Most rare modes discovered!

Direct CP Asymmetries in K_p modes



Compatibility among experiments
No significant deviation from zero

BABAR

BABAR Moriond 02

$$A_{CP}(K^+\pi^-) = -0.05 \pm 0.06 \pm 0.01$$

$$A_{CP}(K^+\pi^0) = 0.00 \pm 0.18 \pm 0.04$$

$$A_{CP}(K^0\pi^+) = -0.21 \pm 0.18 \pm 0.03$$

BELLE

BELLE CERN CKM Workshop

$$A_{CP}(K^+\pi^-) = -0.06 \pm 0.08 \pm 0.08$$

$$A_{CP}(K^+\pi^0) = -0.04 \pm 0.19 \pm 0.03$$

$$A_{CP}(K^0\pi^+) = 0.46 \pm 0.15 \pm 0.02$$

CLEO

CLEO PRL 85 (2000) 525

$$A_{CP}(K^+\pi^-) = -0.04 \pm 0.16$$

$$A_{CP}(K^+\pi^0) = -0.29 \pm 0.23$$

$$A_{CP}(K^0\pi^+) = 0.18 \pm 0.24$$

World Averages

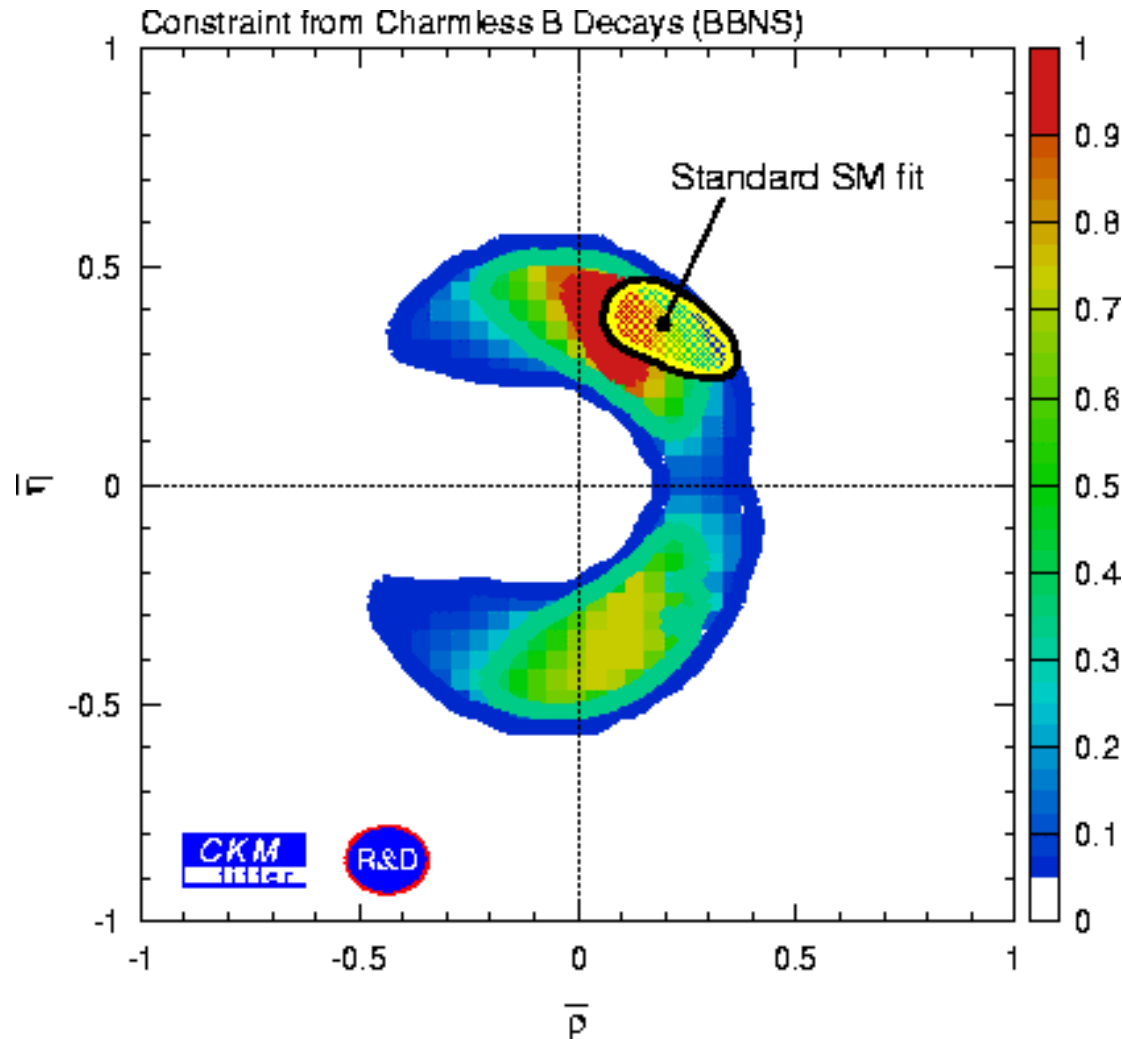
$$A_{CP}(K^+\pi^-) = -0.05 \pm 0.05$$

$$A_{CP}(K^+\pi^0) = -0.09 \pm 0.12$$

$$A_{CP}(K^0\pi^+) = 0.18 \pm 0.10$$

Branching Ratios and A_{CP} Fits in BBNS

Compared to
standard CKM fit



Theoretical
uncertainties:

- m_s, m_c, l_B, R_{pK}
- Renorm. scale m
- Gegenbauer moms:
 $a_1(K), a_2(K), a_2(p)$
- $F(B \rightarrow p), f_B$
- X_H, X_A

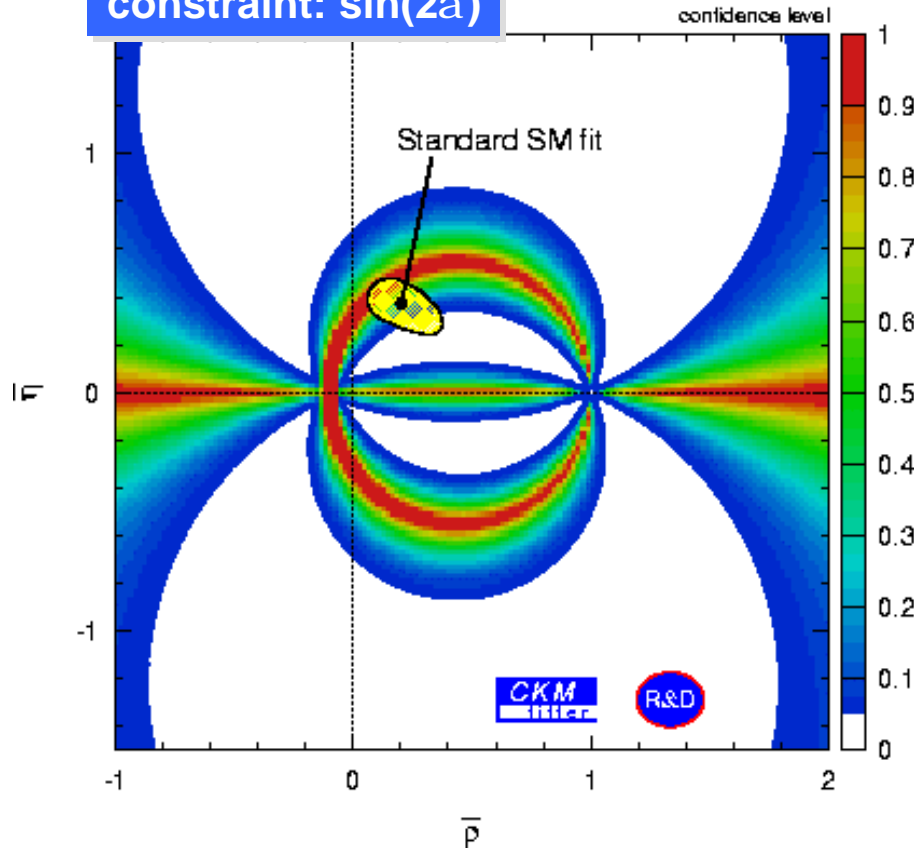
sin2a Constraint assuming BBNS

$$S_{pp} = \frac{2\text{Im} I_{pp}}{1 + |I_{pp}|^2}$$

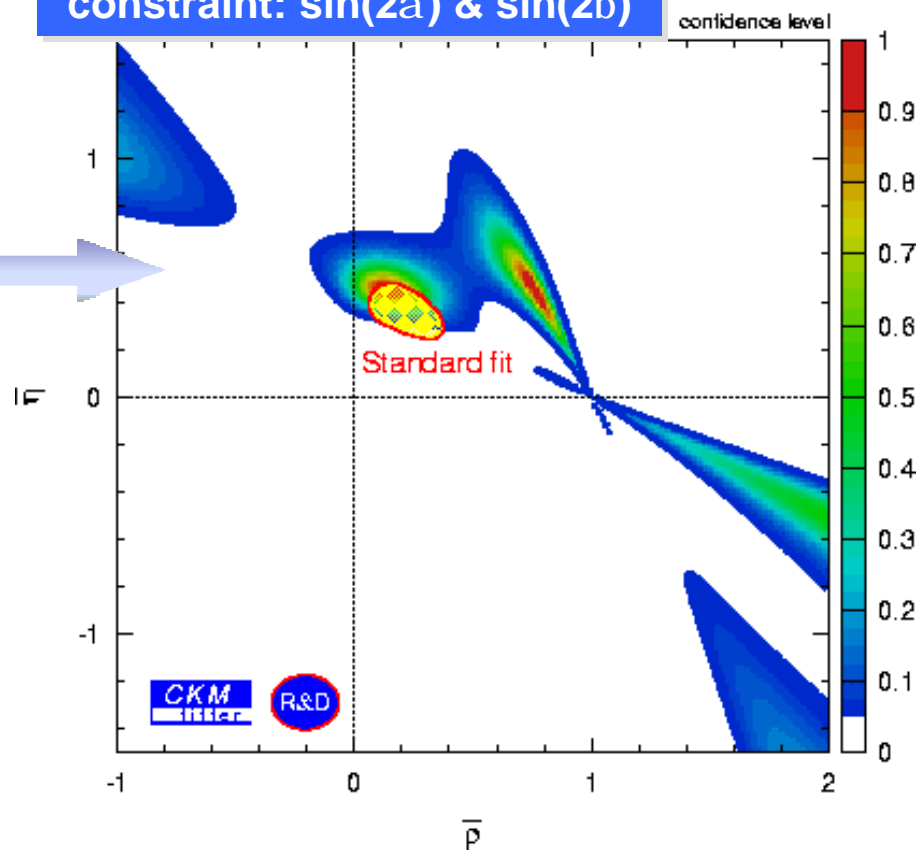
$$I_{pp} = e^{-2ib} \frac{e^{-ig} + \frac{P_{pp}}{T_{pp}}}{e^{+ig} + \frac{P_{pp}}{T_{pp}}}$$

Use BABAR result:
 $S_{pp} = -0.01 \pm 0.37 \pm 0.07$
 & BBNS

constraint: sin(2a)



constraint: sin(2a) & sin(2b)



(III) Testing New Physics: The Semi-leptonic Asymmetry

$$A_{SL} = \frac{\Gamma[\bar{B}_{phys}^0(t) \rightarrow l^+ X] - \Gamma[B_{phys}^0(t) \rightarrow l^- X]}{\Gamma[\bar{B}_{phys}^0(t) \rightarrow l^+ X] + \Gamma[B_{phys}^0(t) \rightarrow l^- X]} = \text{Im}\left(\frac{\Gamma_{12}}{M_{12}}\right)$$

SL, Z. Ligeti, Y. Nir, G. Perez
hep-ph/0202010

	SM	NP		Measurements
$ \Gamma_{12}/M_{12} $	$O(m_b^2/m_W^2)$		OPAL	$(0.4 \pm 5.7) \times 10^{-2}$
$\arg(\Gamma_{12}/M_{12})$	$O(m_c^2/m_b^2)$	$O(1)$	CLEO	$(1.4 \pm 4.2) \times 10^{-2}$
			ALEPH	$(-1.2 \pm 2.8) \times 10^{-2}$
			BABAR	$(0.48 \pm 1.85) \times 10^{-2}$
			WA	$(0.2 \pm 1.4) \times 10^{-2}$
A_{SL}	10^{-3}	10^{-2}	SM	$-1.4 < A_{SL}(10^{-3}) < -0.5$ (>10% CL)

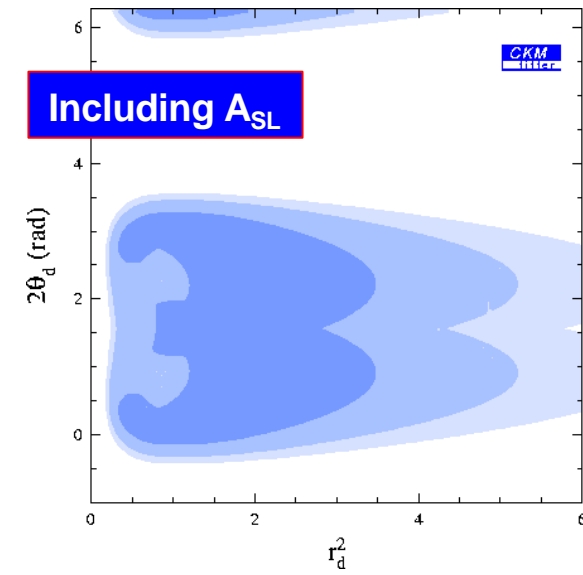
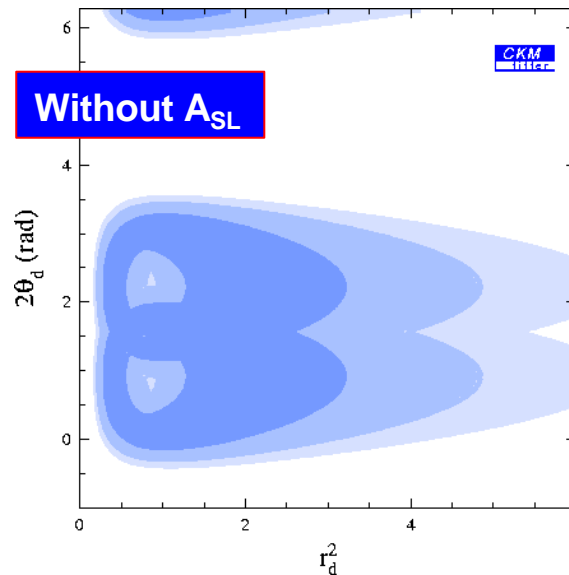


ASL not expected to constrain ρ, η but to exhibit / constrain New Physics
The present WA already constrains some models...

The Semi-leptonic Asymmetry

General NP in mixing amplitude

$$\mathbf{M}_{12} = r_d^2 e^{2iJ_d} \mathbf{M}_{12}^{\text{SM}}$$

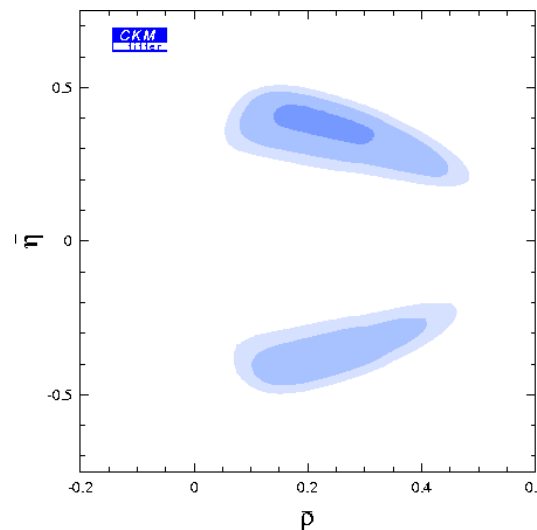


Minimal Flavour Violation model

$$r_d^2 = \frac{|F_{tt}|}{S_0(x_t)} \text{ and } 2\mathbf{J} = 0(\mathbf{p})$$

A_{SL} not significantly enhanced

◆ $\eta < 0$ allowed



Conclusion

Measurement can discriminate between various NP models

Summary

CKM Fits:

- Global CKM fit → consistent picture of Standard Model
- $\sin 2\beta$: perfect agreement with SM expectations
the most precise constraint in the (ρ, η) plane
- New measurements on the market: rare K decays, ...

Test of new approaches in QCD:

- BBNS is implemented in CKMFitter and gives interesting results
- Other approaches intended to be implemented

Test New Physics:

- Recent measurements (e.g. A_{SL}) already provide meaningful constraints on general New Physics models