

$K^+ \rightarrow \pi^0 e^+ \nu$ Branching Ratio from E865

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*A. Sher et al., Phys. Rev. Lett. **91**, 261802 (2003)*

A. Sher, Ph.D thesis, University of Pittsburgh (2002)
http://scipp.ucsc.edu/~sasha/thesis/th_ke3.ps

$K^+ \rightarrow e^+ \nu_e \pi^0$ matrix element

$$\mathcal{M}_{K \rightarrow e \nu \pi^0} = \frac{G_F V_{us}}{2} \left\{ \begin{aligned} & [f_+(t)(p_K + p_\pi)^\mu + f_-(t)(p_K - p_\pi)^\mu] \bar{e} \gamma^\mu (1 + \gamma^5) \nu \\ & + 2M_K f_S \bar{e} (1 + \gamma^5) \nu \\ & + \frac{2f_T}{M_K} p_K^\lambda p_\pi^\mu \bar{e} \sigma^{\lambda\mu} (1 + \gamma^5) \nu \end{aligned} \right\}$$

$$t = (p_K - p_\pi)^2 = (p_e + p_\nu)^2 = M_K^2 + m_\pi^2 - 2M_K E_\pi$$

- In the Standard Model $f_S = f_T = 0$

$$f_S/f_+(0) = 0.0017 \pm 0.0014_{stat} \pm 0.0009_{syst} \pm 0.0053_{theor}$$

$$f_T/f_+(0) = -0.0007 \pm 0.0071_{stat} \pm 0.002_{syst} \quad (K_{\mu 3}^-, \text{ISTRA}^+, 2004)$$

Contribution of f_S and f_T to Branching Ratio $< 0.1\%$

- f_- is suppressed by small electron mass

$$f_-(p_K - p_\pi)^\mu \bar{e} \gamma^\mu (1 + \gamma^5) \nu = f_- m_e \bar{e} (1 + \gamma^5) \nu$$

- $f_+(t) = f_+(0)[1 + \lambda_+ t/m_{\pi^0}^2]$

$$f_+(0) = 1 + \delta \quad (\delta \ll 1, \text{ Ademollo - Gatto theorem})$$

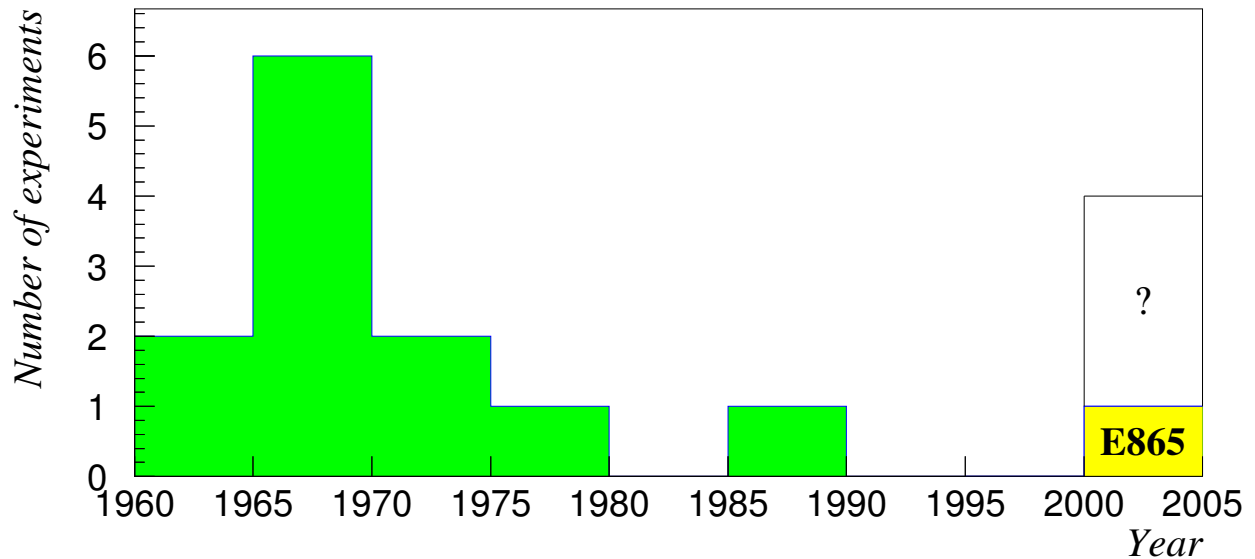
$$\lambda_+ = 0.0282 \pm 0.0027 \quad (\text{PDG2002 fit})$$

$$d\Gamma = C(E_e, E_\pi) |f_+(0) V_{us}|^2 (1 + \lambda_+ t/m_{\pi^0}^2)^2 dE_e dE_\pi$$

Radiative Corrections:

- E.S. Ginsberg, Phys. Rev. **162**, 1570 (1967).
- V. Cirigliano *et. al.*, Eur. Phys. J. C **23**, 121 (2002).
(Fortran code available)
- V. Bytev *et. al.*, Eur. Phys. J. C **27**, 57 (2003).

$K^+ \rightarrow e^+ \nu_e \pi^0$ Decay Rate Measurements



$$BR(K^+ \rightarrow e^+ \nu \pi) = (4.87 \pm 0.06)\% \quad (\text{PDG2002})$$

$$\frac{\sigma(V_{us})}{V_{us}} = 0.5 \frac{\sigma(\Gamma)}{\Gamma} \oplus 0.047 \frac{\sigma(\lambda_+)}{\lambda_+} \oplus \frac{\sigma(f_+(0))}{f_+(0)}$$

$$\frac{\sigma(V_{us})}{V_{us}} = 0.61\% \oplus 0.45\% \oplus 0.85\%$$

Why do we need to remeasure K_{e3}^+ decay rate ?

CKM Matrix Unitarity

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$

- $|V_{ub}| = (3.6 \pm 0.7) \cdot 10^{-3}$, $|V_{ub}|^2 \ll 0.0001$
(from B -meson decays.)
- $|V_{us}| = 0.2196 \pm 0.0026$, $|V_{us}|^2 = 0.0482 \pm 0.0011$
(from K_{e3} decays, $|V_{us}|^{K_{e3}^0} \approx |V_{us}|^{K_{e3}^+}$)
- $|V_{ud}| = 0.9740 \pm 0.0005$, $|V_{ud}|^2 = 0.9487 \pm 0.0010$

$ V_{ud} $	$\Sigma V_{ui} ^2$	Method
0.9740 ± 0.0005	0.9968 ± 0.0014	Nuclear superallowed Fermi beta decays
0.9805 ± 0.0021	1.0095 ± 0.0043	Neutron decay (1990)
0.9757 ± 0.0019	1.0001 ± 0.0040	Neutron decay (PDG2002)
0.9717 ± 0.0013	0.9924 ± 0.0028	Neutron decay (G_A/G_V from PERKEO 2002)
0.9716 ± 0.0039	0.9922 ± 0.0087	Pion beta decay (PIBETA)

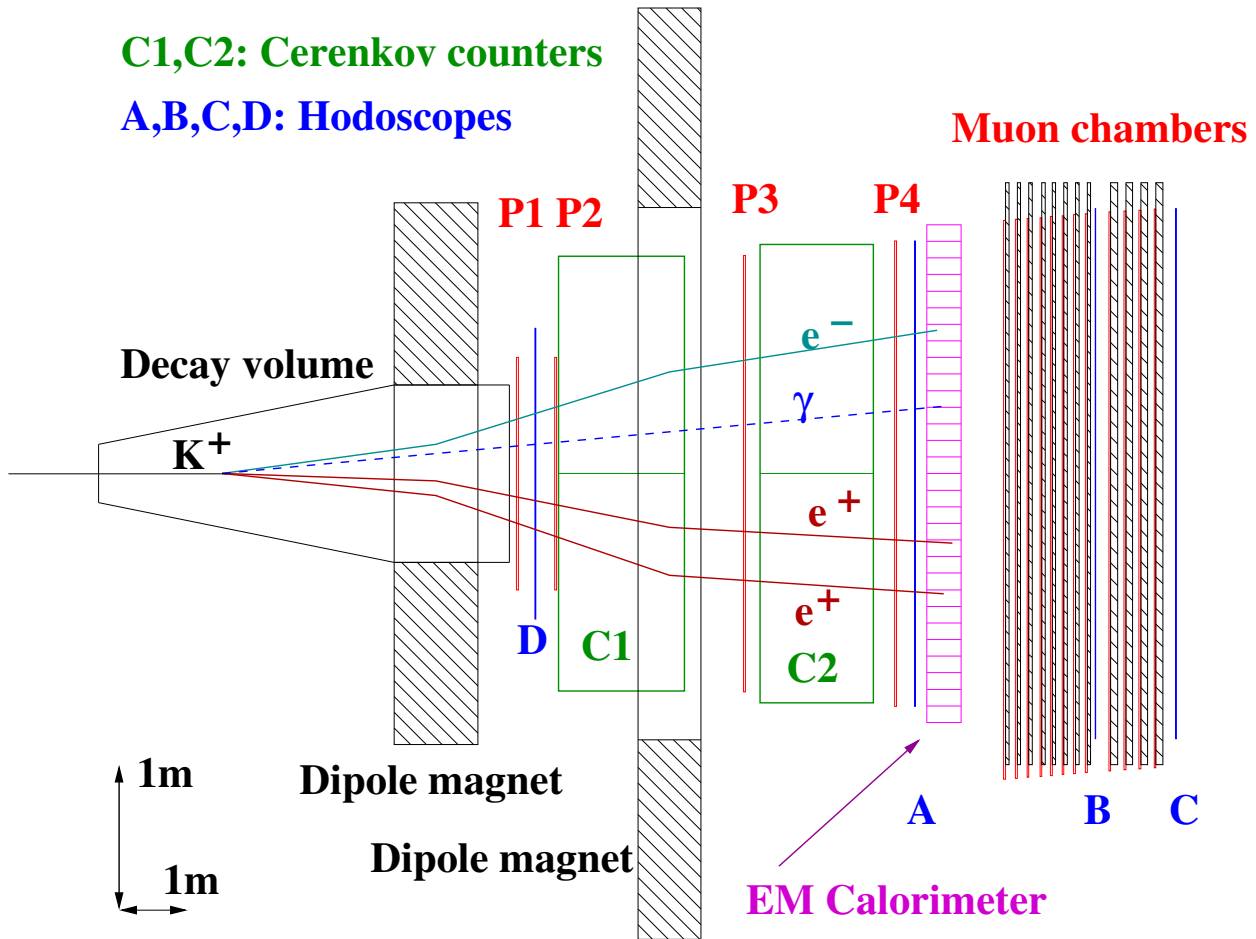
$$|V_{ud}|_{\text{nucl.}}^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9968 \pm 0.0014$$

(2.3 standard deviations from unitarity)

In the last decade, “improvements” in V_{ud} did not improve CKM unitarity. What if V_{us} is wrong ?

Almost all K_{e3}^+ decay rate experiments have been done 30–40 years ago with low statistics. A new experiment is needed.

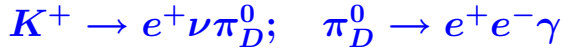
E865 at AGS



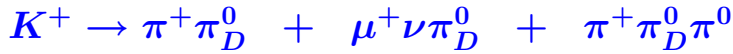
- Designed to search for the decay $K^+ \rightarrow \pi^+ \mu^+ e^-$ (LFV) at the level of 10^{-11} .
- Beam: 6 GeV/c; $10^8 K^+$; $2 \times 10^9 \pi^+$; $1.7 \times 10^9 p$ per 2.8 second AGS pulse. Intensity was reduced by factor 10 in K_{e3} decay rate measurement
- No kaon flux measurement
- Three charged particles are required in final state.

What was detected ?

- Searched process (K_{e3}):



- Normalization decays (K_{dal}):



- Decay identification:

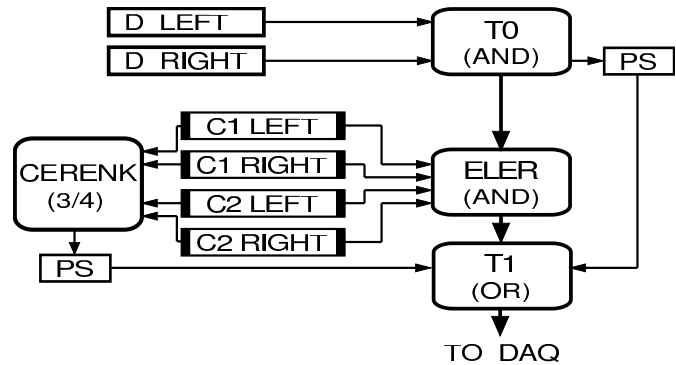
- (Low mass) e^+e^- pair indicate either K_{e3} or K_{dal}
- Additional positive track identified as e^+ means K_{e3}
- Photon detection is not required (to reduce systematic error)

- Trigger 1997:

Hits in D, A, Calorimeter (2 clumps), and in all four Cherenkov
(*Not included in final result due to systematic errors*)

- Trigger 1998:

- “ e^+e^- ” (T0×ELER)
- “TAU” (T0, prescaled)
- “CERENK”
(3 of 4, prescaled)



- Run 1998:

- One week dedicated run
- Reduced beam intensity ($10^7 K^+$ per 2.8 second AGS pulse)
- About 50 million trigger collected

Event selection

- Three tracks, $p < 3.4$ GeV/c, which go through the detector sensitive region and intersecting inside the decay volume.
- Events were rejected if any one Cerenkov photomultiplier could have detected Cerenkov photons from more than one track
- In time signals in both Cerenkov counters for the pair of negative and positive tracks that produces the smaller M_{ee} invariant mass.
- $M_{ee} < 50$ MeV.
- The second positive track is required to satisfy at least two of the following three conditions:
 - in-time signal in C1
 - in-time signal in C2
 - $E/p > 0.8$ in calorimeter

Contamination:

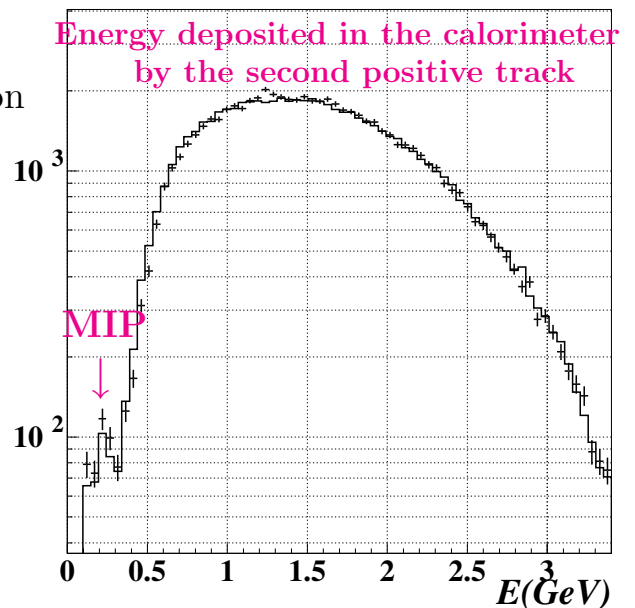
- **Particle misidentification in K_{e3} sample**

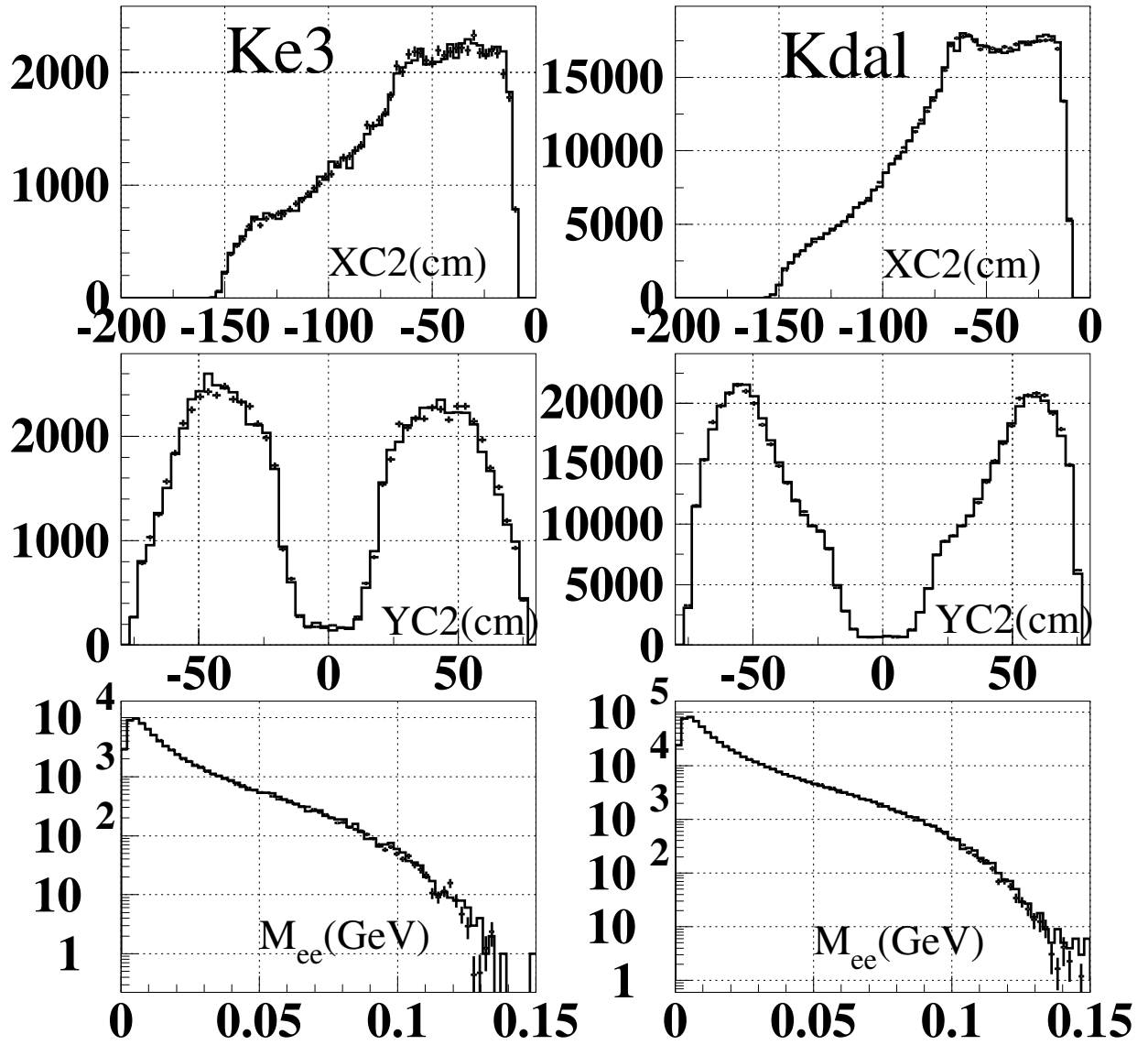
$(2.49 \pm 0.05_{stat} \pm 0.32_{syst})\%$

- π^+/μ^+ misidentification as positron
- $\pi^0 \rightarrow e^+e^-e^+e^-$ in K_{dal} decays

- **Events Overlapping**

- K_{e3} sample $(0.25 \pm 0.07)\%$
- K_{dal} sample $(0.12 \pm 0.05)\%$



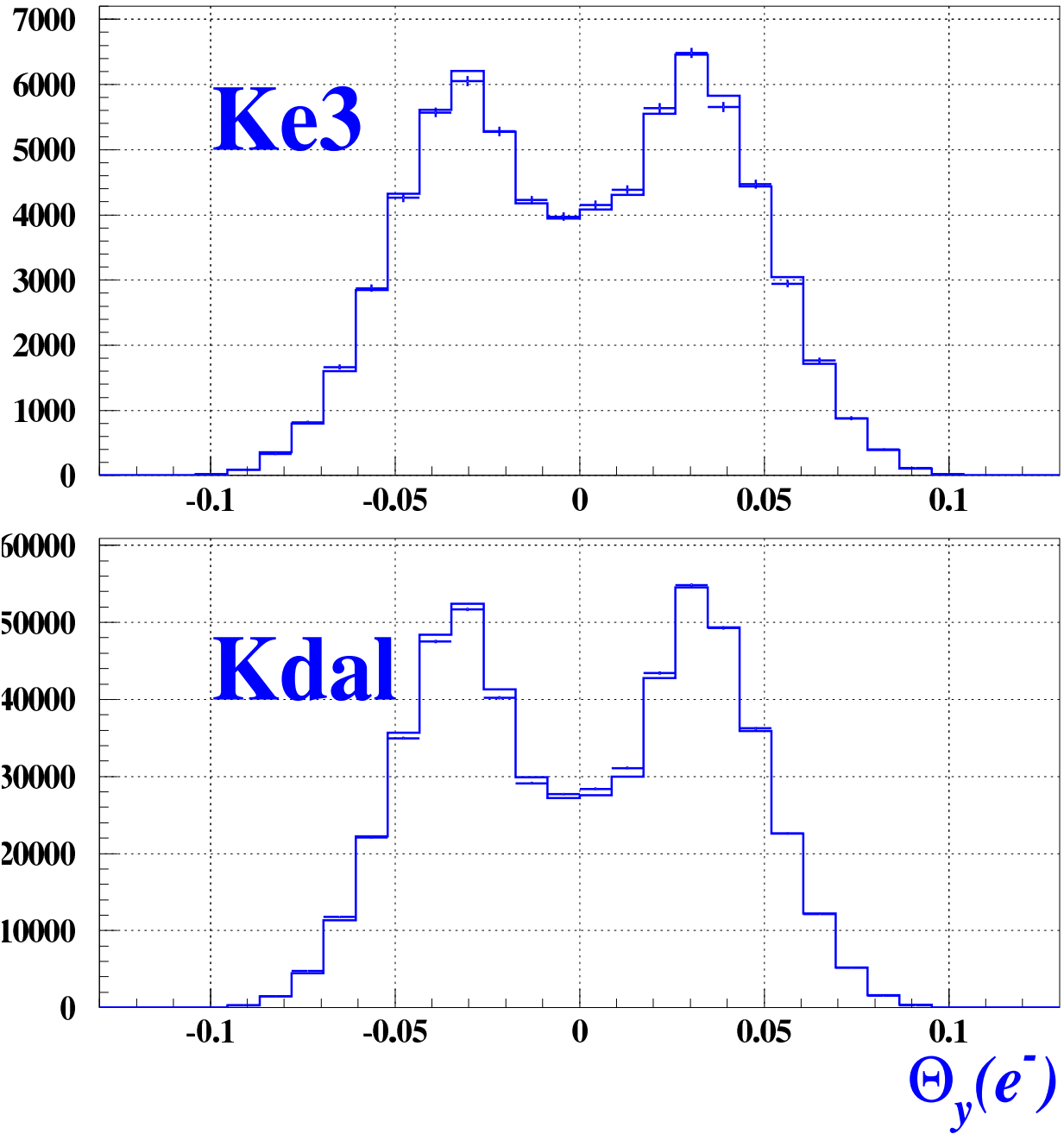


For Ke3 and Kdal candidates, X and Y at C2, and the mass of the e^+e^- from the π^0 Dalitz decay. Histograms are simulation, and points with errors are data.

Errors

- **Statistical error ($\approx 0.4\%$)**
(about 70,000 selected K_{e3} events without π^0 reconstruction)
- **Systematic error ($\approx 1.8\%$, total)**

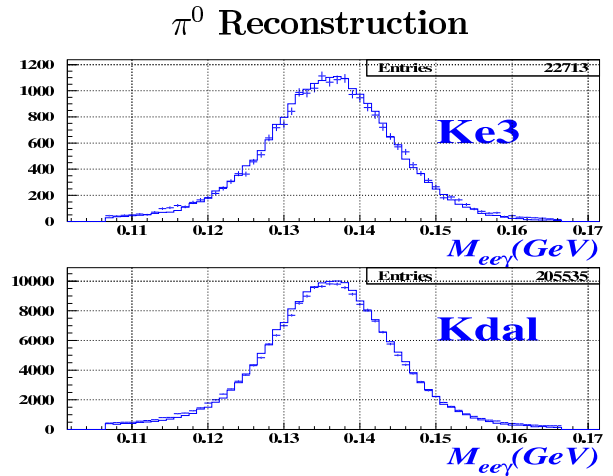
Source of systematic error	Error estimate
Magnetic field uncertainty	0.3%
Vertex finding and quality cut	0.6%
Vertex position cut	0.4%
Čerenkov Ambiguity Cut	0.3%
M_{ee} cut	0.2%
Detector Aperture	0.2%
$(\pi/\mu)^+$ identification	0.04%
MWPC efficiencies	0.2%
D counter efficiencies	0.15%
Čerenkov efficiencies	0.3%
Contamination of the selected samples	0.3%
Removal of extra tracks	0.2%
Vertical spatial/angle distributions discrepancy	0.8%
e^+/e^- momentum distributions discrepancy	1.3%
ELER trigger efficiency	0.1%
Uncertainty in the K_{e3}^+ form factor slope	0.1%
Total error	1.8%



Vertical spatial/angle distributions discrepancy. Histograms are simulation, and points with errors are data

Consistency checks

- Compare sample with fully reconstructed π^0 (30% of all data) and sample where photon was not detected.



CUT	Ke3(MC/D)	Dal(MC/D)	Dal/Ke3
π^0	1.008(0.008)	1.011(0.004)	1.003(0.009)
NO π^0	0.996(0.006)	0.993(0.003)	0.997(0.007)

- $K^+ \rightarrow \pi^+ \pi^+ \pi^-$ branching ratio.

$$Br(K \rightarrow 3\pi)/Br(K_{dal}) = (1.01 \pm 0.02) \times R_{PDG}$$

- 1997 data versus 1998 data
(consistency within one standard deviation)
- Experimental estimation of the form factor slope λ_+ :
 - 1997: $\lambda_+ = 0.0324 \pm 0.0044_{stat}$
 - 1998: $\lambda_+ = 0.0290 \pm 0.0044_{stat}$

Results are consistent with PDG value $\lambda_+^{PDG} = 0.0282 \pm 0.0027$

E865 Results

$$\frac{Br(K_{e3}(\gamma))}{Br(K_{\pi 2} + K_{\mu 3} + K_{\pi 3})} = 0.1962 \pm 0.0008_{stat} \pm 0.0035_{syst}$$



$$Br(K_{e3}(\gamma)) = (5.13 \pm 0.02_{stat} \pm 0.09_{syst} \pm 0.04_{norm})\%$$



Radiative corrections: -1.3%

Inner bremsstrahlung corrections (out of Dalitz plot): $+0.5\%$

$$Br_{bare}(K_{e3}(\gamma)) = (5.17 \pm 0.02_{stat} \pm 0.09_{syst} \pm 0.04_{norm})\%$$



Short distance enhancement factor $S_{EW}(M_\rho, M_Z) = 1.0232$

$$|V_{us}f_+(0)| = 0.2243 \pm 0.0022_{rate} \pm 0.0007_{\lambda_+}$$



Theory: $f_+(0) = 0.9874 \pm 0.0084$

$$|V_{us}| = 0.2272 \pm 0.0023_{rate} \pm 0.0007_{\lambda_+} \pm 0.0018_{f_+(0)}$$

Comparison with previous K_{e3}^+ decay rate experiments

	Experiment	Evts	Norm.	$\Gamma_{e3}/\Gamma_{\text{norm}}$ (%)	$Br(K_{e3}^+)$ (%)	π^0	RC	λ_+	p_e range (MeV)
A	SHAKLEE 64	429	<i>total</i>	4.7 ± 0.3	4.70 ± 0.30	$\gamma\gamma$	—	-0.02	total
	CHIANG 72	3516	<i>total</i>	4.86 ± 0.10	4.86 ± 0.10	$\gamma\gamma$	—	0.03	total
	BORREANI 64	230	τ	90 ± 6	$5.02 \pm 0.33 \pm 0.03$	—	—	0.	total
	BRAUN 75	2827	τ	85.6 ± 4.0	$4.77 \pm 0.22 \pm 0.03$	$\gamma\gamma$	—	0.03	total
	BARMIN 87	2768	τ	86.7 ± 2.7	$4.83 \pm 0.15 \pm 0.03$	$\gamma\gamma$	—	?	total
	LUCAS 73	786	$\pi 2$	22.1 ± 1.2	$4.67 \pm 0.25 \pm 0.01$	<i>ee</i>	Yes	0.03	$p_e < 200$
B	CESTER 66	1679	$\mu 2 + \pi 2$	5.89 ± 0.21	$4.98 \pm 0.18 \pm 0.01$	—	Yes	0.	$p_e > 60$
	ESCHSTRUTH 68	5110	$\mu 2 + \pi 2$	6.16 ± 0.22	$5.21 \pm 0.19 \pm 0.01$	—	Yes	0.	$p_e > 80$
	AUERBACH 67	470	$\mu 2$	7.91 ± 0.54	$5.02 \pm 0.34 \pm 0.01$	—	Yes	0.	$130 < p_e < 190$
	BOTTERILL 68C	960	$\mu 2$	7.75 ± 0.33	$4.92 \pm 0.21 \pm 0.01$	—	Yes	0.02	$p_e > 90$
C	GARLAND 68	561	$\mu 2$	6.9 ± 0.6	$4.38 \pm 0.38 \pm 0.01$	—	—	0.	$p_e > 80$
	ZELLER 69	350	$\mu 2$	6.9 ± 0.6	$4.38 \pm 0.38 \pm 0.01$	—	—	0.02	$p_e > 120$
	E865	70k	$\pi 2 + \mu 3 + \tau'$	19.62 ± 0.37	$5.13 \pm 0.09 \pm 0.04$	<i>ee</i>	Yes	0.03	$p_e > 45$

$$Br(A) = (4.83 \pm 0.07)\%$$

$$Br(B) = (5.04 \pm 0.11)\% \Rightarrow (5.00 \pm 0.11)\%$$

$$Br(C) = (4.38 \pm 0.27)\% \quad \downarrow \text{corrected for } \lambda_+$$

$$Br(A + B) = (4.89 \pm 0.06)\% \Rightarrow (4.88 \pm 0.06)\%$$

$$Br(A + B + C) = (4.87 \pm 0.06)\% \quad (\text{PDG fit})$$

$$Br(\text{non } K_{e3}) = (4.82 \pm 0.15)\% \quad (\text{PDG fit without } K_{e3} \text{ entries})$$

$$Br(E865) = (5.13 \pm 0.10)\%$$

$$Br(E865) = Br(B) \quad (0.9\sigma)$$

$$Br(E865) \neq Br(A) \quad (2.5\sigma)$$

$$Br(E865) \neq Br(A + B) \quad (2.2\sigma)$$

$$Br(A) ? Br(B) \quad (1.5\sigma)$$

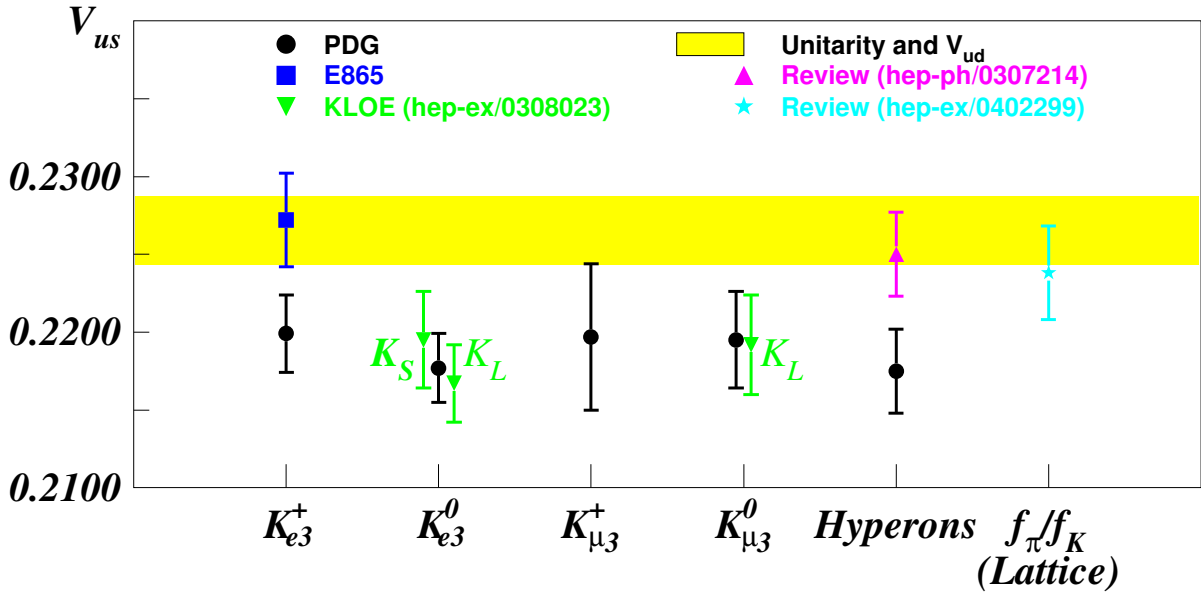
No explanation of the discrepancy was found

V_{us}

$$f_+^{K^+\pi^0}(0) = 0.0984 \pm 0.0084, \quad f_+^{K^+\pi^0}(0)/f_+^{K^0\pi^-}(0) = 1.022$$

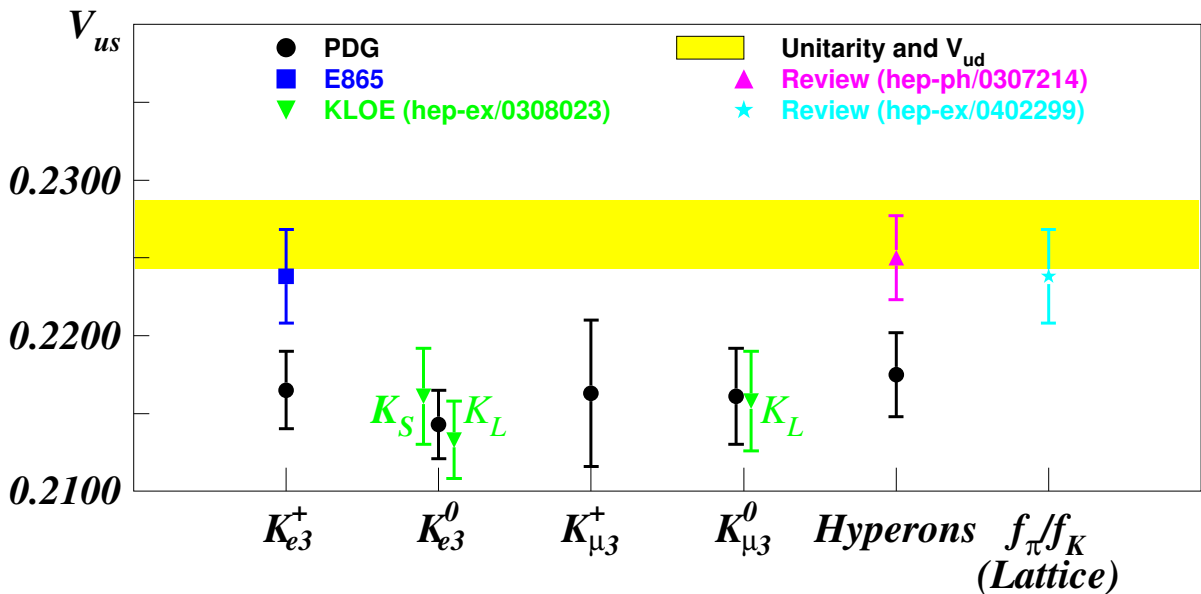
H. Leutwyler, M. Roos, Z. Phys. C. **25**, 91 (1984)

V. Cirigliano et al., Eur. Phys. C **23**, 121 (2002)



$$f_+^{K^+\pi^0}(0) = 1.002 \pm 0.010, \quad f_+^{K^0\pi^-}(0) = 0.981 \pm 0.010,$$

V. Cirigliano, H. Neufeld, H. Pichl, hep-ph/0401173



Summary

E865 results:

$$Br(K_{e3}(\gamma)) = (5.13 \pm 0.02_{stat} \pm 0.09_{syst} \pm 0.04_{norm})\%$$

$$|V_{us}| = 0.2272 \pm 0.0020_{rate} \pm 0.0007_{\lambda_+} \pm 0.0018_{f_+(0)}$$

Virtue:

$$|V_{ud}|_{\text{nucl.}}^2 + |V_{us}|_{\text{E865}}^2 + |V_{ub}|^2 = 1.0003 \pm 0.0016$$

Problems:

- Disagreement with previous K_{e3}^+ decay rate experiments by about 2.3 standard deviations.
- $|V_{us}|$ is in disagreement with the value from the K_{e3}^0 decay rate if extracted under conventional theoretical assumptions about symmetry breaking.