

# QCD in Beauty Decays : Successes and Puzzles

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- We have the QCD-based theory of  $B$  decays
- It works at the nonperturbative level
  - impressive agreement with experiment
  - gives nontrivial predictions
  - allows precision extraction of  $|V_{cb}|$  and  $|V_{ub}|$
  - makes suggestions for next generation experiments
- There are puzzles<sup>1</sup> which are to be clarified
  - Theoretical insights plus experimental data are needed

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<sup>1</sup>of more than simply technical nature

# Expansion in $\frac{\Lambda_{\text{QCD}}}{m_b}$ requires dynamics

Physics of a heavy quark is simple. Dynamics of light degrees of freedom in the presence of the heavy quark

Bound-state  $\longleftrightarrow$  nonperturbative effects

Can they be controlled?

QCD allows to establish a number of facts

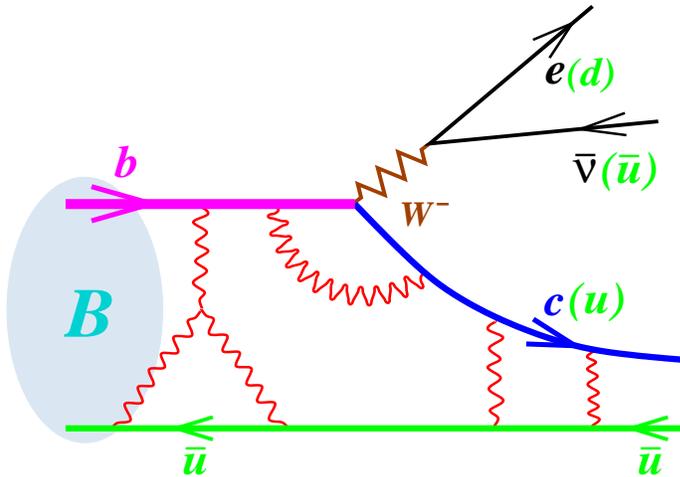
Lifetime hierarchy of beauty (and even charm) particles

Most informative are inclusive decays admit local OPE

Certain dynamical predictions are quite nontrivial

More precise statements are those of most general nature, hence independent of a possible mechanism of confinement, resulting hadron spectrum, ...

# Lifetimes and inclusive decay widths



Quark level:

analogy with muon decay

$$\Gamma = \frac{G_F^2 M_b^5}{192\pi^3} \cdot z(m_c, m_b) \cdot N_c \cdot \left(1 - \frac{\alpha_s}{\pi} \dots\right) \cdot \dots \cdot \left\{ \begin{array}{l} |V_{cb}|^2 \\ |V_{ub}|^2 \end{array} \right\}$$

How accurate is this?

Nonperturbative effects?

Can they be computed?

$$\sim \left(\frac{\Lambda_{\text{QCD}}}{m_b}\right)^k$$

$M_B$  or  $m_b$  ?

$$M_B - m_b \approx 500 \text{ MeV} \sim \Lambda_{\text{QCD}}$$

$$M_{\Lambda_b} - M_B \approx 370 \text{ MeV} \sim \Lambda_{\text{QCD}}$$

$$\frac{M_B^5}{m_b^5} \approx 1.5 \text{ to } 2 \propto 1 + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{m_b}\right)$$

Can we go beyond this 'accuracy'?

# QCD theorem (1992)

Bigi, Uraltsev, Vainshtein

No  $\Lambda_{\text{QCD}}/m_b$  corrections to inclusive widths of heavy flavor hadrons

Applies to all types: semileptonic, nonleptonic,  $b \rightarrow s + \gamma$ ,  
 $b \rightarrow s \ell^+ \ell^-$ , ...

Part II:  $1/m_b^2$  effects are computed

$1/m_b^3$  effects are known as well

$$B, B_s, \Lambda_b, \dots \quad \frac{\Delta M}{M} \sim \frac{\Lambda_{\text{QCD}}}{m_b} \quad \text{yet} \quad \frac{\Delta \Gamma}{\Gamma} \sim \frac{\Lambda_{\text{QCD}}^2}{m_b^2}$$

$$M_B = m_b + \bar{\Lambda} + \frac{\mu_\pi^2 - \mu_G^2}{2m_b} + \frac{\mu_3^2}{m_b^2} + \dots$$

$\bar{\Lambda}$  does not affect the width!

Exclusive property of QCD. Follows from the gauge nature of QCD interaction

Exact cancellation of the bound state effects with the final state interaction

Bound state & hadronization effects are given by local HQ operators  $\bar{b}Ob$

Order  $1/m_b^2$ :  $\mu_\pi^2 = \langle B | \bar{b} (i\vec{D})^2 b | B \rangle$ ,  $\mu_G^2 = \langle B | \bar{b} \frac{i}{2} \sigma G b | B \rangle$

Order  $1/m_b^3$ :  $\langle B | \bar{b} \Gamma b \bar{q} \Gamma' q | B \rangle$  etc.

# Practical applications

Extracting  $|V_{cb}|$ ,  $|V_{ub}|$  from  $\Gamma_{sl}(B)$

Need accurate values of QCD parameters

$$m_b, m_c (m_b - m_c), \mu_\pi^2, \mu_G^2, \rho_D^3, \dots$$

Replace models and their attributes used early on

$m_b, m_c, \mu_\pi^2, \dots$  (properly defined) can be determined from the semileptonic  $(b \rightarrow s + \gamma)$  decay distributions themselves

BSUV, 1993-1994

Nowadays is being implemented in experiment

With comprehensive approach we can do robust analysis without relying on  $1/m_c$  expansion, or invoking unknown *nonlocal correlators*

Expansion in  $1/m_c$  is questionable

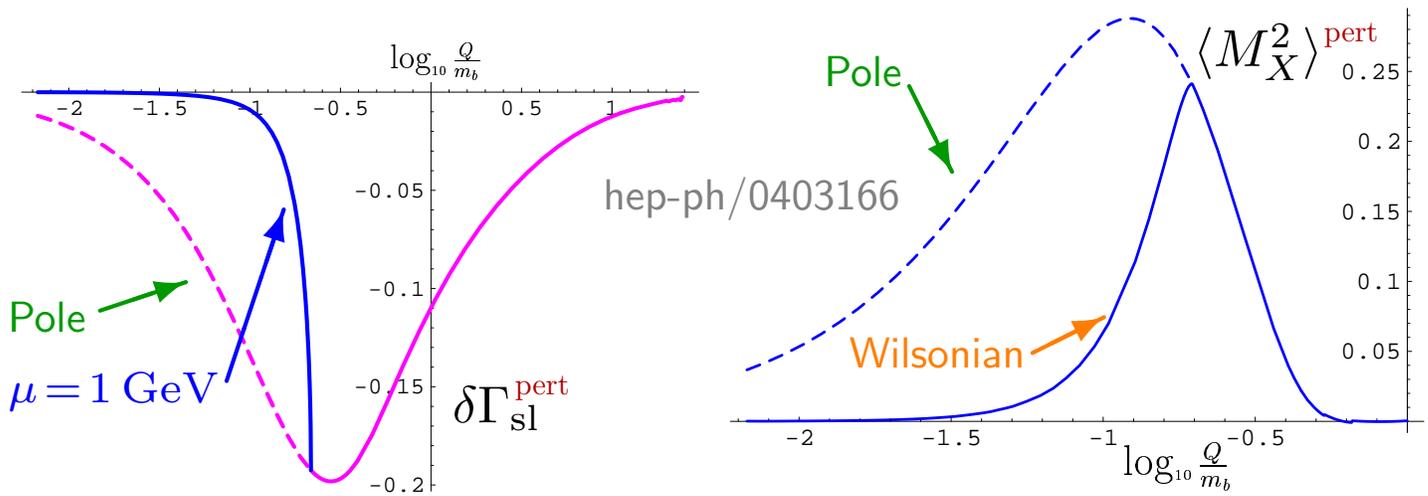
## Theoretical status

Can aim at 1% level in  $|V_{cb}|$  assumes technical progress in theory

$|V_{ub}|$  ? – underway, 5% accuracy is realistic

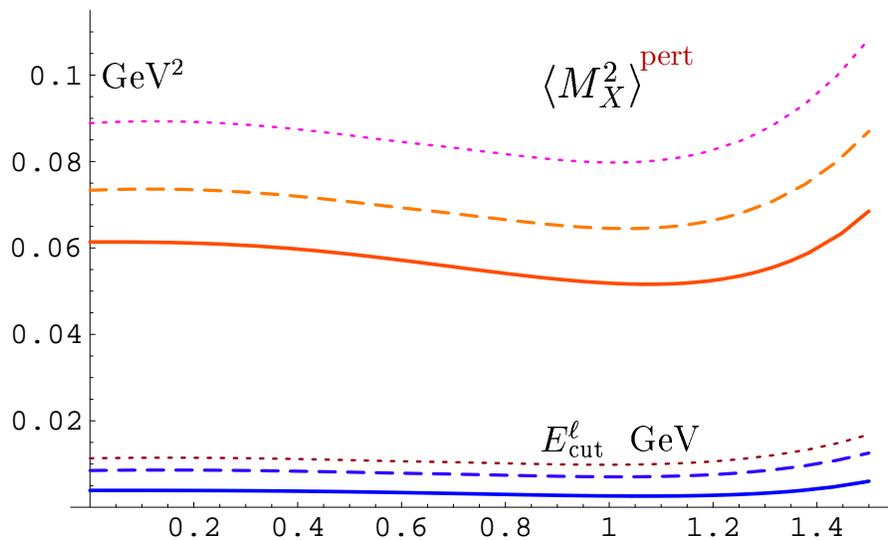
An often question: How can this be true?

# Perturbative corrections? ...



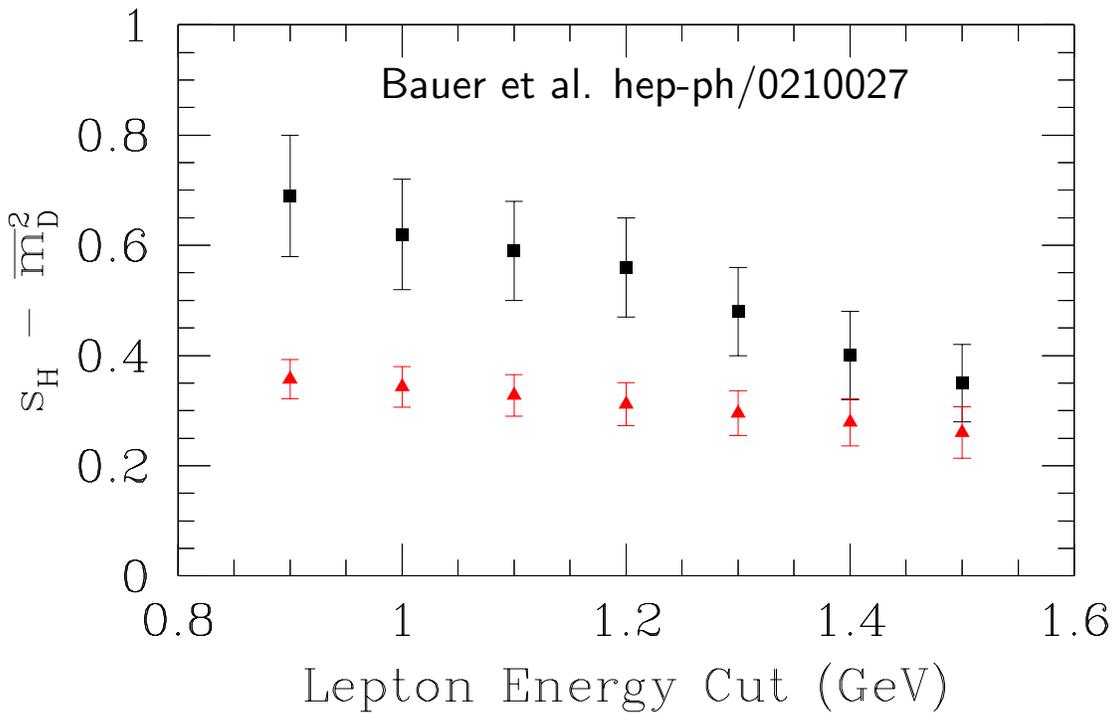
With the IR piece cut off according to Wilson we can work for precision!

Corrections in the scheme with the hard cutoff,  $\mu = 1 \text{ GeV}$ . Within pole-type approaches the correction is 4-6 times larger and strongly decreases at larger  $E_{cut}^\ell$



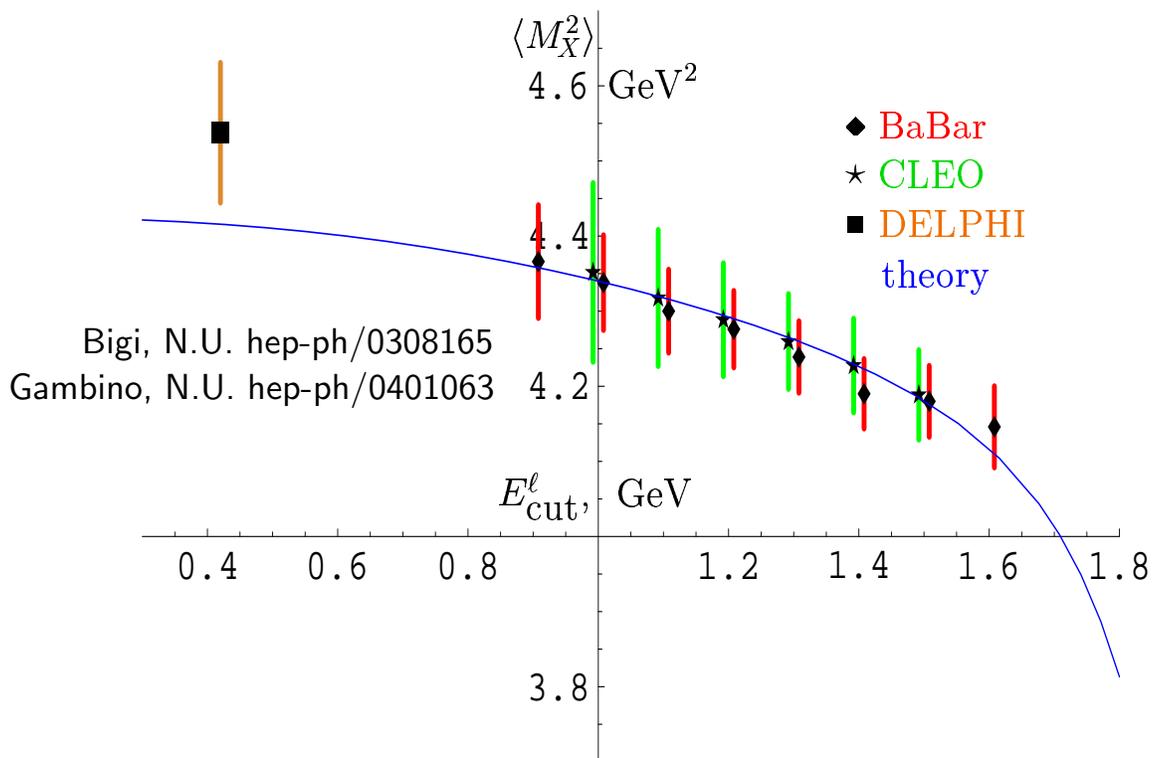
Now all pure perturbative corrections have been calculated

# Problem for theory with $\langle M_X^2 \rangle$ vs. $E_{\text{cut}}^\ell$ ?



Robust OPE approach a lá Wilson,  $\mu=1\text{GeV}$ :

Data and predictions  
as of July 2003

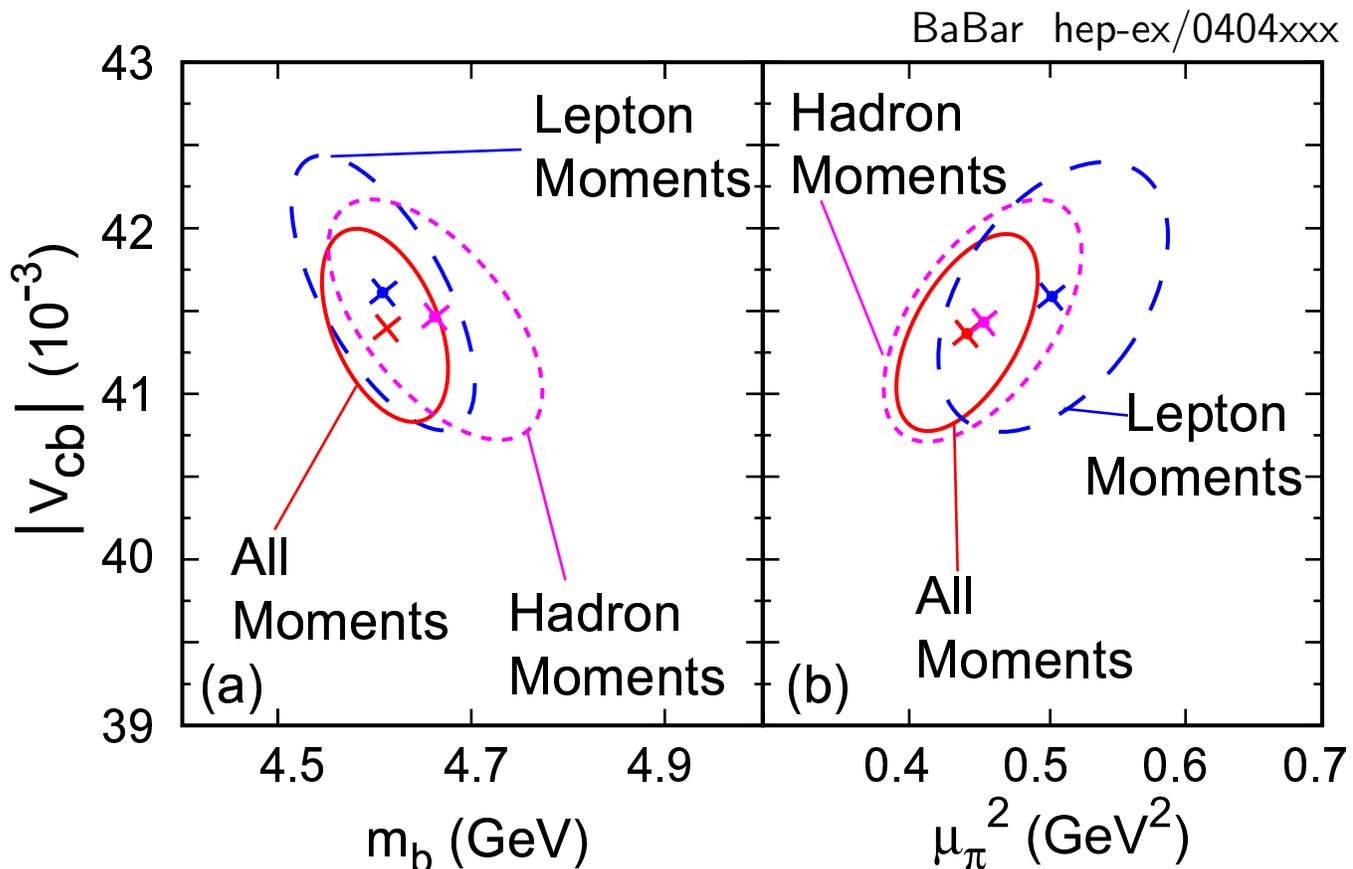


OPE works even where may be expected to break down

# Present stage:

- ♠ Have an accurate and reliable determination of many HQ parameters from experiment
- ♣ Extracting  $|V_{cb}|$  from  $\Gamma_{sl}(B)$  has good accuracy and solid grounds
- ♥ Have precision checks of the OPE at the nonperturbative level

I think the most impressive is good consistency between  $\langle M_X^2 \rangle$  and  $\langle E_\ell \rangle$ : A sensitive check of the nonperturbative sum rule for  $M_B - m_b$



Surprise: SL decays at BaBar yielded accurate  $m_b$  itself

The combination  $m_b - 0.74 m_c$  is determined with only 17 MeV error bar!

Running mass is an observable and has no intrinsic limitation on precision

Theoretical expectation:

$$m_b(1 \text{ GeV}) = (4.57 \pm 0.05) \text{ GeV}$$

Voloshin 1995–1996  
Melnikov, Yelkhovsky  
Beneke, Signer 1998–1999  
Hoang

$\mu_\pi^2, \mu_G^2$  — primary nonperturbative values in the HQE

$$\mu_G^2 = \frac{1}{2M_B} \langle B | \bar{b} \frac{i}{2} g_s \sigma_{\mu\nu} G^{\mu\nu} b | B \rangle \longleftrightarrow \langle B | -g_s \vec{\sigma}_b \vec{B}_{\text{chr}}(0) | B \rangle_{\text{QM}}$$

$$\mu_\pi^2 = \frac{1}{2M_B} \langle B | \bar{b} (i\vec{D})^2 b | B \rangle \longleftrightarrow \langle B | \vec{p}_b^2 | B \rangle_{\text{QM}}$$

$$\vec{p}_b \rightarrow \vec{\pi}_b = -i\vec{D} = -i\vec{\partial} - g_s \vec{A}$$

$\mu_G^2$  determines hyperfine splitting:  $M_{B^*} - M_B \simeq \frac{2\mu_G^2}{3m_b}$

$$\mu_G^2(1 \text{ GeV}) = 0.35_{-0.02}^{+0.03} \text{ GeV}^2$$

N.U. 2001

$\mu_\pi^2(\mu) > \mu_G^2(\mu)$  at any  $\mu$  rigorous inequality

BSUV, Voloshin 1993–1994

Theory:  $\mu_\pi^2 \approx (0.45 \pm 0.1) \text{ GeV}^2$

Right at the central experimental value

Next order in  $1/m_b$ : Darwin and spin-orbital values

familiar from atomic physics

$$\rho_D^3 \propto \frac{1}{2M_B} \langle B | \bar{b} \Gamma b \bar{q} \Gamma' q | B \rangle \longleftrightarrow |\Psi(0)|_{\text{QM}}^2$$

$$\rho_{LS}^3 \propto \langle \vec{\sigma} \cdot \vec{\pi} \times \vec{E} \rangle_b$$

- Inconsistency with  $b \rightarrow s + \gamma$  moments?

Relying on relations *imprecise* with a high cut on  $E_\gamma$

$$\langle E_\gamma \rangle = \frac{m_b}{2} + \dots \quad \langle [E_\gamma - \langle E_\gamma \rangle]^2 \rangle = \frac{\mu_\pi^2}{12} + \dots$$

A good way to accurately measure HQ parameters?

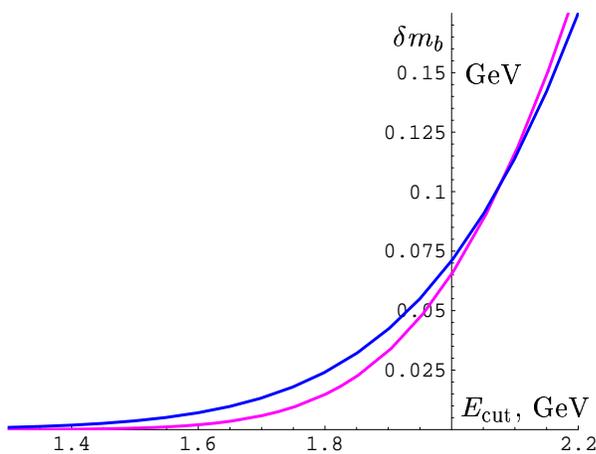
Bottle neck: 'Hardness'  $Q$  often gets too low with the cuts

$$Q \simeq m_b - m_c \text{ for total widths, but}$$

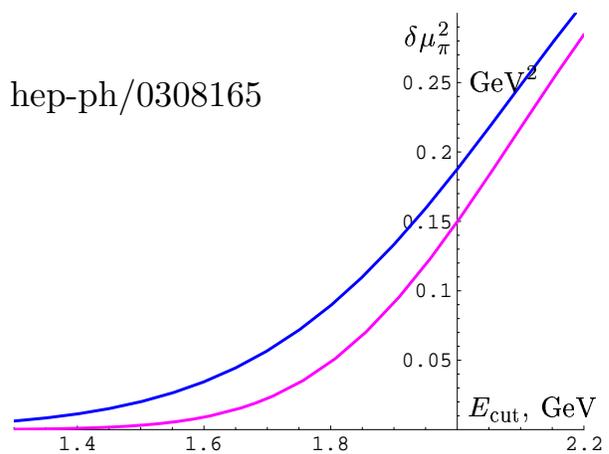
$Q$  is below 1 GeV for  $E_\ell > 1.7$  GeV

A complementary consideration suggests the expansion for  $M_X^2$  loses sense for  $E_{\text{cut}} \geq 1.7$  GeV

In  $b \rightarrow s + \gamma$   $Q \simeq M_B - 2E_{\text{min}} \simeq 1.2$  GeV  
if the cut is at  $E_\gamma = 2$  GeV



Bigi, N.U. hep-ph/0308165



Accounting for these biases yielded good agreement between all measurements

Experiment must strive to lower the cuts!

BELLE 2004: With  $E_\gamma > 1.8 \text{ GeV}$  cut *biases* are not that much an issue

$$\begin{aligned} \langle E_\gamma \rangle &= 2.289 \pm 0.026_{\text{stat}} \pm 0.0034_{\text{sys}} \text{ GeV} \\ \langle [E_\gamma - \langle E_\gamma \rangle]^2 \rangle &= 0.0311 \pm 0.0073_{\text{stat}} \pm 0.0063_{\text{sys}} \text{ GeV}^2 \end{aligned}$$

For BaBar's HQ values we would obtain

$$\langle E_\gamma \rangle = 2.317 \text{ GeV} \quad \langle [E_\gamma - \langle E_\gamma \rangle]^2 \rangle = 0.0329 \text{ GeV}^2$$

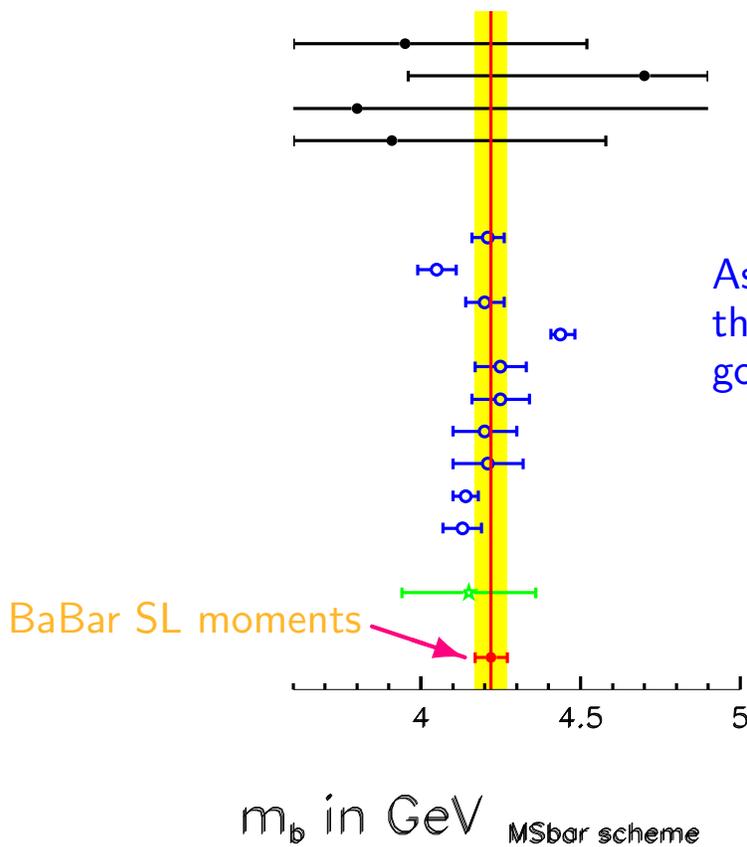
Quite consistent!

Adding this to the BaBar data yields only minor shifts in the fit:

$$m_b(1\text{GeV}) \simeq 4.58\text{GeV}, \quad \mu_\pi^2(1\text{GeV}) \simeq 0.45\text{GeV}^2$$

no visible change in  $|V_{cb}|$

More conventional in HEP is  $m_b^{\overline{\text{MS}}}(m_b)$ :



As expected from the comparison for the low-scale running mass, here also a good agreement

# What all this means?

OPE works well, the heavy quark parameters derived from experiment are consistent with the theoretical expectation based on independent theoretical analyses

Perturbative corrections have been calculated and are expectedly well behaved in the proper Wilsonian approach. No obstacles for precision calculations of truly inclusive short-distance observables

Theory needs calculation of the perturbative corrections to the Wilson coefficients of power-suppressed operators ( $\mu_\pi^2, \mu_G^2, \rho_D^3$ )

This becomes a limiting factor

Kinetic value  $\mu_\pi^2$  emerges as theoretically expected  
Does the precise value matter? It appears that

$$\mu_\pi^2 - \mu_G^2 \ll \mu_\pi^2$$

Very interesting regime!

Need to recall Heavy Quark Sum Rules

Recent development: D'Orsay sum rules Le Yaouanc et al.

Good example:  $\varrho^2 > \frac{3}{4}$

N.U. 2000

Assuming the spin sum rule is saturated at  $\mu=1$  GeV we have

$$\mu_\pi^2 - \mu_G^2 = 3 \tilde{\varepsilon}^2 \cdot \left(\varrho^2 - \frac{3}{4}\right)$$

Quite a constraint:  $\left(\varrho^2 - \frac{3}{4}\right) = \frac{\mu_\pi^2 - \mu_G^2}{3\tilde{\varepsilon}^2} \lesssim 0.2$  (0.3)

at  $\mu_\pi^2 = 0.43$  (0.5) GeV<sup>2</sup> since  $\tilde{\varepsilon} > 0.4$  GeV

$\varrho^2$  is probed in experiment

important for  $V_{cb}$   
radically affects  $B \rightarrow D^*$   
extrapolation to zero recoil

Recent UKQCD lattice is quite compatible with the prediction:

$$\varrho^2 = 0.83_{-0.11}^{+0.15} \pm 0.24$$

hep-lat/0202029

Another application, for  $B \rightarrow D \ell \nu$ : expanding in  $\mu_\pi^2 - \mu_G^2$

$$\frac{2\sqrt{M_B M_D}}{M_B + M_D} f_+(0) = 1.04 \pm 0.01 \pm 0.01$$

$\mu_\pi^2 \simeq \mu_G^2$  is a remarkable point for  $B$  and  $D$  mesons!

‘BPS’ expansion: Expand around  $\mu_\pi^2 = \mu_G^2$

N.U. 2001-3

At  $\mu_\pi^2 = \mu_G^2$  there is a functional relation  $\vec{\sigma} \vec{\pi} |B\rangle = 0$

Often extends Heavy Flavor (but not Spin) symmetry to all orders in  $1/m_Q$

No formal power corrections to  $m_b - m_c = M_B - M_D$   
only exponential in  $2m_c/\mu_{\text{hadr}}$

# Miracles of the BPS limit

N.U. 2003

- $q^2 = \frac{3}{4}$  inclusive hadronic moments can tell us about the slope of the  $B \rightarrow D^{(*)}$  formfactor!

- No power corrections to  $M = m_Q + \bar{\Lambda}$  for the ground state

$$M_B - M_D = m_b - m_c \text{ to all orders in } 1/m_Q$$

- For  $B \rightarrow D$  amplitude

$$f_-(q^2) = -\frac{M_B - M_D}{M_B + M_D} f_+(q^2) \text{ to any order in } 1/m_Q$$

- Zero recoil  $B \rightarrow D$  amplitude:  $\delta_{1/m^k} = 0$  regardless of mass ratio

- In  $B \rightarrow D$  at zero recoil

$$f_+ = \frac{M_B + M_D}{2\sqrt{M_B M_D}} \text{ to all orders in } 1/m_Q$$

- At arbitrary velocity power corrections in  $B \rightarrow D$  vanish

$$f_+(q)^2 = \frac{M_B + M_D}{2\sqrt{M_B M_D}} \xi \left( \frac{M_B^2 + M_D^2 - q^2}{2M_B M_D} \right)$$

Decay rate directly gives the IW function

**Experiment:**  $B \rightarrow D$  slope much closer to  $q^2 \simeq 0.9$

Corrections to the shape of the  $B \rightarrow D^*$  formfactor are way too significant

# Quantifying Corrections to BPS

How significant are corrections to 'BPS' relations in actual QCD? It depends

The deviation parameter:

$$\alpha = \|(\vec{\sigma}\vec{\pi})|B\rangle\| \equiv \sqrt{\mu_\pi^2 - \mu_G^2}$$

Dimensionful parameter is

The dimensionless one is

$$\beta = \|\pi_0^{-1}(\vec{\sigma}\vec{\pi})|B\rangle\| \equiv \sqrt{3(q^2 - \frac{3}{4})} = 3 \left( \sum_n |\tau_{1/2}^{(n)}|^2 \right)^{\frac{1}{2}}$$

Numerically  $\beta$  is not a too small number, similar in size to generic  $1/m_c$  expansion parameter  $\beta^2$  should be good

We can count together powers of  $1/m_c$  and  $\beta$  to judge the real quality of the HQ relations

At which order in  $\beta$  the BPS relations can be violated to all orders in  $1/m_Q$ ?

N.U. 2003

- Absence of corrections to  $M_D = m_c + \bar{\Lambda}$ ,  
 $M_B - M_D = m_b - m_c$  holds up to  $\beta^2$
- Zero recoil  $B \rightarrow D$  amplitude is unity up to  $\beta^2$
- At arbitrary velocity relation between  $f_+$  and  $f_-$  in  $B \rightarrow D$  holds only to the leading order

$$f_-(q^2) = -\frac{M_B - M_D}{M_B + M_D} f_+(q^2) + \mathcal{O}(\beta)$$

- At arbitrary velocity the relations between  $f_{\pm}$  in  $B \rightarrow D$  and the IW function may receive corrections  $\propto \beta^1$

- $f_+$  near zero recoil receives only second order corrections in  $\beta$  to any order in  $1/m_Q$ :

$$f_+ \left( (M_B - M_D)^2 \right) = \frac{M_B + M_D}{2\sqrt{M_B M_D}} + \mathcal{O}(\beta^2)$$

Analogue of the Ademollo-Gatto theorem for the BPS expansion

the same applies to  $f_-$

Must be quite accurate,  $f_-/f_+$  can be checked in  $B \rightarrow D \tau \nu_{\tau}$

We know that all power corrections are small

$$\frac{2\sqrt{M_B M_D}}{M_B + M_D} f_+(0) = 1.04 \pm 0.01 \pm 0.01$$

All orders in  $1/m$  in BPS, to  $1/m^2 \cdot 1/\text{BPS}^2$ ,  $\alpha_s^1$

This formfactor is known better than for

‘gold-plated’  $B \rightarrow D^*$

If this can be measured, nothing else exclusive

may be required for  $|V_{cb}|$

Are all skies crystal blue in SL decays? Not quite...

A “ $\frac{1}{2} > \frac{3}{2}$ ” puzzle

Much of a priori theory knowledge comes from the Heavy Quark Sum Rules + the known size of  $\mu_G^2$ . Sum rules explain why  $B^*$  is heavier than  $B$ ; they set the scale of  $\bar{\Lambda}$ ,  $\mu_\pi^2$ , ...

The HQ sum rules are exact relations in the heavy quark limit

Two classes: first for  $\rho^2$ ,  $\bar{\Lambda}$ ,  $\mu_\pi^2$ ,  $\rho_D^3$ , ... These are saturated by both  $\frac{3}{2}$  and  $\frac{1}{2}$   $P$ -wave heavy quark states

Second are ‘spin’ sum rules for  $\rho^2 - \frac{3}{4}$ ,  $\bar{\Lambda} - 2\bar{\Sigma}$ ,  $\mu_\pi^2 - \mu_G^2$ , ... These include only  $\frac{1}{2}$  states

Spin sum rules strongly suggest that  $\frac{3}{2}$   $P$ -wave states must dominate over  $\frac{1}{2}$  states. This automatically happens in all quark models respecting QCD and Lorentz covariance

Orsay quark models

Experiment:  $\frac{3}{2}$  charm  $P$ -wave states are narrow and well identified. They seem to contribute too little. Wide  $\frac{1}{2}$  states might saturate the spin-singlet sum rules, but in aggregate they should be subdominant to  $\frac{3}{2}$  states!

## The most natural solution of HQSRs:

$\frac{3}{2}$  states at  $\varepsilon_{\frac{3}{2}} \approx 450 \text{ MeV}$  and  $\tau_{\frac{3}{2}}^2 \approx 0.3$  while  
 $\tau_{\frac{1}{2}}^2 \approx 0.07 \div 0.12$  with  $\varepsilon_{\frac{1}{2}} \approx 300 \div 500 \text{ MeV}$

## Possible resolutions:

Contribution of the excited  $P$ -wave states ...

Charm is too light to apply this classification itself,  
valid only for heavy quarks; extraction of  $\tau$ 's is not justified  
Need a good physical reason to invert the hierarchy

Too light  $c$  quark... Lattices can help

Resolution of this controversy is an important task,  
needs joint efforts from both theory and experiment

# Conclusions:

Heavy quark theory has become a mature branch of QCD  
Experiment has entered a new era of detailed studies of both  
“electroweak” and “strong” aspects

The dynamic OPE has finally undergone and passed  
critical precision checks at the nonperturbative level

Experiments find consistent heavy quark parameters  
from quite different measurements

$|V_{cb}|$  extraction has high accuracy and is based on  
reliable theory

Similar robust results are anticipated soon for  $|V_{ub}|$

Inclusive studies yield crucial info for HQ physics,  
even for exclusive amplitudes      Formerly viewed as antipodes

Power corrections to HQ symmetry are very  
significant in charm.      There is a subset of relations which  
are stable, they are limited to the ground-state pseudoscalar  $B$   
and  $D$  mesons, but exclude spin symmetry for charm

Experiment must verify the kinetic expectation value with  
even higher accuracy and fidelity,      extract more reliably  $\rho_D^3$   
in inclusive decays

Theory must provide perturbative corrections to coefficients of  
power-suppressed operators

$B \rightarrow D$  decays can be reliable theory-wise

If  $\mu_\pi^2 \lesssim 0.45 \text{ GeV}^2$  is firmly established then

$\mathcal{F}_+(0) \simeq 1.04$  is an accurate prediction for  $B \rightarrow D$

Many nontrivial consequences of the BPS regime

Slope  $\rho^2$  is close to 1-, and

fits of  $B \rightarrow D^*$  should incorporate constraints on  $\hat{\rho}^2$

$B \rightarrow D^{(*)} \tau \nu$  and  $B \rightarrow X_c \tau \nu$  offer a number of interesting possibilities

Recent success of the QCD-based dynamic theory of nonperturbative physics in heavy quarks also raises new problems

A “ $\frac{1}{2} > \frac{3}{2}$ ” puzzle

needs both theoretical and experimental scrutiny